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# RELATIONSHIPS OF HAEMOSPORIDIAN PARASITES TO POPULATIONS OF THEIR AVIAN HOSTS IN EASTERN NORTH AMERICA

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# **RELATIONSHIPS OF HAEMOSPORIDIAN PARASITES TO POPULATIONS OF THEIR AVIAN HOSTS IN EASTERN NORTH AMERICA**

Vincenzo A. Ellis B.A., Biology, University of California-Santa Barbara, 2010

A Dissertation Submitted to The Graduate School at the University of Missouri-St. Louis in partial fulfillment of the requirements for the degree Doctor of Philosophy in Biology

May, 2015

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#### **Dissertation Abstract**

Avian Haemosporida are common, vector-transmitted blood parasites of birds throughout the world. During my dissertation research, I explored how multiple host species respond immunologically to natural infections in the wild (Chapter 1) and to experimental infections in the laboratory (Chapter 2). Despite their tractability as a model host-parasite system and a burgeoning literature on avian Haemosporida, little is known about how their populations interact across large areas (hereafter "regions"). I present data from parasite surveys of birds across eastern North America suggesting that continental parasite populations track host populations across the region, but also that the host breadth of a parasite can be variable across space and time (Chapter 3). Parasite lineages replace each other spatially within a host population, likely due to interspecific parasite competition mediated by host immune systems (Chapter 3). Parasite prevalence is positively related to host abundance within local assemblages (Chapter 4), but within host species across their ranges, prevalence does not vary with abundance (Chapters 3 and 4). Finally, a 12 year survey of parasites and their hosts in the Missouri Ozarks demonstrates that parasite populations vary through time, and that this variability is related to host breadth—specialist parasites (i.e., parasites infecting primarily one host) were more variable than generalist parasites (i.e., parasites infecting multiple hosts; Chapter 5). Overall my dissertation work contributes to the natural history and ecology of avian Haemosporidian parasites and their avian hosts, and to host-parasite ecological and evolutionary theory.



#### **Acknowledgments**

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I am lucky enough to be part of a family that has always supported me, and I thank them and my friends for their constant encouragement over the last few years. Finally, I thank my wife, Eloisa Sari, whose love and support have sustained me as long as I have known her.



# **Table of Contents**





# **Chapter 1**

The ecology of host immune responses to chronic avian haemosporidian infection

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# **Abstract**

Host responses to parasitism in the wild are often studied in the context of single hostparasite systems, which provide little insight into the ecological dynamics of host-parasite interactions within a community. Here we characterized immune system responses to mostly low-intensity, chronic infection by haemosporidian parasites in a sample of 424 individuals of 22 avian host species from the same local assemblage in the Missouri Ozarks. Two types of white blood cells (heterophils and lymphocytes) were elevated in infected individuals across species, as was the acute-phase protein haptoglobin, which is associated with inflammatory immune responses. Linear discriminant analysis indicated that individuals infected by haemosporidians occupied a subset of the overall white blood cell multivariate space that was also occupied by uninfected individuals, suggesting that these latter individuals might have harbored other pathogens or that parasites more readily infect individuals with a specific white blood cell profile. DNA sequence-defined lineages of haemosporidian parasites were sparsely distributed across the assemblage of hosts. In one well-sampled host species, the red-eyed vireo (*Vireo olivaceus*), heterophils were significantly elevated in individuals infected with one but not another of two common parasite lineages. Another well sampled host, the yellow-breasted chat (*Icteria virens*), exhibited no differences in immune response to different haemosporidian lineages. Our results indicate that while immune responses to infection may be generalized across host species, parasite-specific immune responses may also occur. **Key words:** *avian malaria, ecoimmunology, Haemosporida, haptoglobin, leukocytes*

# **Introduction**

Parasites can adversely affect host individuals and populations (e.g., Day and Monk 1974; van Riper et al. 1986; Hudson et al. 1998; Lafferty and Kuris 2009), but relatively little is known about the responses of individual hosts to infection in natural populations. Several studies have documented the prevalence of haemosporidian parasites (*Plasmodium*, *Haemoproteus*, and *Leucocytozoon*) in local and regional avian assemblages (van Riper et al. 1986; Schall and Vogt 1993; Booth and Elliott 2003; Ricklefs et al. 2005; Szymanski and Lovette 2005), but few have examined variation in the immunological and physiological consequences of infection among host species in an ecological context (but see Apanius et al. 2000). That haemosporidian infection affects host physiology is not in question—experimental infections often have severe physiological consequences (Atkinson et al. 2000, 2001; Garvin et al. 2003; Palinauskas et al. 2008; Cellier-Holzem et al. 2010). Furthermore, comparisons of infected and



infection-free individuals, as well as applications of antimalarial drugs, have revealed fitness costs associated with haemosporidian infection in populations of wild birds (Merino et al. 2000; Marzal et al. 2005; Knowles et al. 2010).

Studies of the effects of haemosporidian parasites on hosts have mostly involved single host species and single parasite lineages (Atkinson et al. 2000, 2001; Garvin et al. 2003; Cellier-Holzem et al. 2010). However, in one experimental study, Palinauskas et al. (2008) infected five species of passerine birds with the same lineage of *Plasmodium relictum* and showed that infection dynamics and susceptibility to infection differed between the host species. This is not surprising, as species often differ in aspects of baseline immune function (Matson et al. 2006) and in immune responses to antigens (Horrocks et al. 2011). While much has been gained by placing immune function *per se* in an ecological context (Norris and Evans 2000), clearly there also is a need to place parasite-specific immune responses in an ecological context.

Parasites in the genus *Plasmodium* are thought to be more pathogenic than those in the related haemosporidian genus *Haemoproteus* because *Plasmodium* reproduces asexually in the blood stream of its vertebrate host while *Haemoproteus* does not (Valkiūnas 2005). Host individuals tend to maintain chronic infections of *Plasmodium* at a lower intensity in the blood than those of *Haemoproteus* (Fallon and Ricklefs 2008), presumably because the former is more dangerous to the host. Palinauskas et al. (2011) tackled the question of how hosts respond to different parasite lineages by infecting two species of birds with two lineages of parasites in the genus *Plasmodium*. They showed that the infection dynamics of the two parasite lineages differed across hosts, indicating potential differences in pathogenicity between lineages. White blood cell levels additionally have been shown to be elevated in haemosporidian-infected birds in some but not all populations of the same, or closely related, species (Ricklefs and Sheldon 2007).

To better understand the ecology of host parasite relationships, we sampled 424 individuals of 22 species from a local avian assemblage in the Ozarks of southern Missouri and investigated variation in host responses to haemosporidian infection. We looked for an effect of infection on circulating white blood cell levels, presumably indicators of host immune defense (Ots et al. 1998; Ricklefs and Sheldon 2007), and on the concentration of haptoglobin, an acute-phase protein of the immune system whose concentration increases during an inflammatory response (Matson et al. 2006). Cellier-Holzem (2010) documented elevated haptoglobin in canaries during experimental infection with *P. relictum* in a laboratory setting, but to date haptoglobin has not been examined in relation to haemosporidian infection in a natural setting, where parasitemias (i.e., the concentration of parasites in the peripheral circulation of an individual) are typically low (Valkiūnas 2005). We also tested for an effect of infection on individual mass and the proportional volume of red blood cells in the peripheral blood (hematocrit). For two well-sampled host species (*Vireo olivaceus* and *Icteria virens*), we assessed whether distinct molecular parasite lineages elicited different physiological responses from their common hosts.

Given the known fitness consequences of haemosporidian infection in wild birds (Merino et al. 2000; Marzal et al. 2005; Knowles et al. 2010), we predicted that haemosporidian infection would result in increased inflammation (as measured by haptoglobin) and a generally heightened immune response.



#### **Materials and methods**

From late May to early July 2011, we captured birds  $(n = 424)$  via mist-net in previously clear-cut areas of the Ozarks of southern Missouri (centroid geographic coordinates for sampling locations are 37°13′ N, 91°04′ W; for habitat description see Ricklefs et al. 2005). Upon capture, each bird was banded with a U.S. Fish and Wildlife issued metal leg band, sex and age were determined (if possible) using the criteria in Pyle (1997), morphometric measurements were taken, and a small blood sample  $\ll 1\%$  of the individual's body weight) was collected before releasing the bird. In this analysis, birds were aged as either hatch year (HY, i.e., born that summer) or after-hatch year (AHY). Sampling occurred only in the mornings from approximately 0600 to 1100. All sampling was conducted under federal permit #21688 and Missouri Department of Conservation permit #14967 and in accordance with University of Missouri – St. Louis IACUC (Protocol 309824-1).

#### *Blood collection*

We collected blood samples from the brachial vein of each bird using heparinized microcapillary tubes. From each blood sample we made two blood smears, and then added ca. 5-10  $\mu$ L to a tube with 300  $\mu$ L of lysis buffer (Longmire et al. 1997) for later DNA extraction. Remaining blood was kept on ice  $(< 6 h$ ) until centrifugation. After centrifugation, hematocrit was recorded, and plasma was placed in a microcentrifuge tube and frozen at -20°C. Blood smears were fixed on the day of collection for five minutes in methanol. Within two weeks of collection, blood smears were stained for 60 minutes in a modified Geimsa solution (Valkiūnas 2005). Due to logistical constraints in the field, we were able to collect plasma from only 151 individuals.

#### *White blood cells, parasitemias, and haptoglobin*

A subset  $(n = 235)$  of blood smears was chosen randomly for estimating white blood cell densities and haemosporidian parasitemias. Slides were read by a single person (MRK). White blood cell density was determined by counting the number of white blood cells per approximately  $10^4$  red blood cells at  $400\times$  magnification. We estimated the number of red blood cells by comparing each field with a series of standardized photographs with known numbers of red blood cells (Ricklefs and Sheldon 2007). The proportion of different types of white blood cells were also determined by counting and classifying 100 white blood cells at  $1000 \times$  magnification as either heterophils, eosinophils, basophils, lymphocytes, or monocytes (Clark et al. 2009). Basophils were rare in our blood smears and so were excluded from our analyses. The presence or absence of blood parasites was also recorded, and if parasites were found, the parasitemia was estimated as the number of parasites per  $10^4$  red blood cells. We typically examined approximately 10-20 fields at  $400\times$  magnification in order to include  $10^4$  red blood cells.

Plasma samples were thawed and then analyzed for haptoglobin concentration using a commercially available kit (Tridelta Diagnostics, product code TP-801 Morris Plains, NJ), following the "manual method" provided by the manufacturer. *Molecular analysis*

DNA was extracted from the blood stored in lysis buffer using a standard ammonium acetate-isopropanol protocol (Svensson and Ricklefs 2009). Polymerase chain reaction was used to screen the blood samples for haemosporidian infections, and a small region of the parasite cytb gene was sequenced in positive infections. Details of the protocol are



described in Fecchio et al. (2013). Molecular lineages were determined after sequencing positive infections and comparing those sequences to those in Ricklefs et al. (2005). Parasites with similar cytb sequences were distinguished as independent lineages when they occurred in different hosts in the same area, or were more divergent than such lineages. A subset of individuals had double peaks in the chromatogram of the sequenced infections potentially indicating the presence of multiple infections (i.e., different lineages infecting the same individual). All individuals with potential multiple infections were removed from the analysis. All unique sequences are available through GenBank (accession numbers listed in Supplementary Table 8). The sex of each individual was confirmed by molecular analysis following the protocol in Fridolfsson and Ellegren (1999).

#### *Statistical analyses*

All statistical analyses were performed using R version 2.15.1 (R Core Team 2012). We used log-likelihood G-tests to determine whether prevalence varied across host species, age, and sex. We determined potential predictors of the physiological variables using AICc to weight generalized linear models based on all possible combinations of the following variables: infection status, parasite genus, parasite lineage, host species, sex, age, and the interaction between infection status and host species. This analysis was performed using the *glmulti* package in R (Calcagno 2012).We report the best model (i.e., the model with the highest model weight) and its weight in the text. The model-averaged parameter estimates and unconditional variances (as calculated in Buckland et al. 1997) for all explanatory variables from the best models are reported in Supplementary Table 2.

To analyze variation in white blood cell response to infection we performed a linear discriminant analysis (LDA) to explore the white blood cell multivariate space. White blood cell frequencies (WBC type per  $10^4$  RBCs) were fourth-root transformed to normalize their distributions, and were checked for homogeneity of multivariate dispersions (Legendre and Legendre 2012) using the function 'betadisper' from the *vegan* package in R (Oksanen et al. 2012). We also repeated our analyses using higher taxonomic levels as nested random effects in our models (results not reported). Adding levels of taxonomy above species did not change the results of any of our analyses. We also analyzed the white blood cell response to infection by different parasite lineages in two hosts using linear regression models with Type III F-tests.

#### **Results**

#### *Infection prevalence across host species, age, and sex*

The most common infections were from the genera *Plasmodium* and *Haemoproteus* (subgenus *Parahaemoproteus*), however parasites belonging to the genus *Leucocytozoon* were also identified (Table 1). We used the RAxML BlackBox (Stamatakis et al. 2008; Figure 1) to produce a midpoint-rooted, maximum-likelihood phylogeny of the *Plasmodium* and *Haemoproteus* lineages in this analysis; only one *Leucocytozoon* lineage was found. We also compared our sequences with the MalAvi database (Bensch et al. 2009) and found that three lineages had associated morphospecies, which we listed on the phylogeny next to the lineage name (Figure 1). Overall infection prevalence determined by PCR varied significantly across host species  $(G = 102, df = 21, P < 0.001)$  ranging from 0 to 100 percent (Supplementary Table 1). Prevalence did not differ significantly between sexes  $(G = 0.11, df = 1, P = 0.74)$ , but younger individuals  $(HY)$  were less often



infected than were older individuals  $(AHY: G = 12.7, df = 1, P < 0.001)$ . However, the significant age effect could be due to heterogeneity of age distributions, infection intensities, and sample sizes across species (Ricklefs et al., 2005). To account for this potential source of bias, we ran a logistic regression with a quasibinomial error distribution with age class, species, and the interaction between the two as predictor variables weighted by the square root of the sample size of each species. Both species and the interaction between species and age class were not significant predictors of infection status, but age class alone was. Younger individuals (HY) were still less often infected than older individuals (AHY) in this analysis (estimate of coefficient for HY compared to AHY =  $-0.973$ , s.e. = 0.446,  $P = 0.030$ ).

# *White blood cells and parasitemias*

As is common in studies of haemosporidian infection in wild bird populations, most infections presented very low parasitemias (mean = 32, s.d. = 128.9 parasites in  $10^4$  red blood cells; Supplementary Figure 1). In our blood smear sample, 93.3% of infected individuals (70/75) had parasitemias under one percent (i.e., 100 parasites in  $10^4$  red blood cells). In 45.3% of samples that tested positive for infection using molecular methods, we failed to find a single parasite among  $10<sup>4</sup>$  red blood cells. We therefore excluded parasitemia from our analyses and relied on PCR detection of infection, although including parasitemia as a separate variable did not change our results qualitatively. In a separate set of multiple regression analyses (results not shown), we found that parasitemia was not significantly related to any of the other physiological variables we measured. Furthermore, our main results did not change if we excluded birds with less than one or fewer than 100 parasites per  $10<sup>4</sup>$  red blood cells, indicating that the physiological measurements we recorded were likely not related to parasitemia.

We explored the white blood cell multivariate space using linear discriminant analysis (LDA) to determine the position of infected and uninfected individuals within that space. The LDA correctly classified 91.7% of uninfected birds from white blood cell frequencies, while it classified only 22.7% of infected birds correctly. Thus, the multivariate space occupied by uninfected birds is larger than that occupied by infected birds and the latter is largely overlapped by the former, as shown by the distribution of uninfected and infected birds on the discriminant axis (Figure 2). The standardized discriminant function coefficients showed that heterophils (0.89), lymphocytes (1.63), and to a lesser extent eosinophils (0.25), were positively related to the discriminant axis while monocytes (-0.35) were negatively related. These results indicate that haemosporidian infected individuals have white blood cell profiles that occupy a particular part of the overall white blood cell multivariate space (elevated heterophils and lymphocytes), which is also occupied by some individuals that are not infected by haemosporidians.

We were also interested in which variables best predicted individual white blood cell responses. The AICc model with the highest model weight for heterophils (model weight  $= 0.25$ ) included infection status, host species, and age as explanatory variables, as did the best model for lymphocytes (model weight  $= 0.44$ ; Supplementary Tables 2, 3 and 4). Both models showed that heterophils and lymphocytes were elevated in haemosporidian infected individuals (estimates of coefficients 0.095 and 0.146, respectively). The best model for eosinophils included only host species (model weight  $=$ 



0.26). The model with the highest weight for monocytes was a null model with no explanatory variables (model weight  $= 0.24$ , Supplementary Table 1).

# *Haptoglobin, hematocrit and body mass*

Haptoglobin assays and hematocrit measurements were not equally distributed between infected and uninfected birds within most species, many of which were poorly sampled. Seven of the species in our analysis lacked data on haptoglobin for either uninfected or infected birds, and seven species had only one data point for one or both of those groups (Supplementary Tables 5 and 6). Accordingly, we analyzed data only from four bettersampled species (northern cardinal, *Cardinalis cardinalis*: uninfected = 3, infected = 3; red-eyed vireo, *Vireo olivaceus*: uninfected = 18, infected = 7; scarlet tanager, *Piranga*   $olivacea$ : uninfected = 6, infected = 6; yellow-breasted chat, *Icteria virens*: uninfected = 16, infected = 11). The model for haptoglobin concentration with the highest AICc weight in this analysis included infection status and host sex as explanatory variables (model weight  $= 0.17$ ), and the estimated standardized coefficient for infection status (0.203) indicated that haptoglobin was elevated in infected individuals relative to uninfected individuals. The best models in our analysis of both hematocrit (model weight  $= 0.36$ ) and mass (model weight  $= 0.36$ ) included host species and age as explanatory variables and, interestingly, did not include infection status (Supplementary Tables 2, 5, 6 and 7).

*Response to different parasite lineages by individuals of a single host species* In two well-sampled hosts, *Vireo olivaceus* (n = 56) and *Icteria virens* (n = 70), our data allowed us to test whether infected individuals responded differently to different parasite lineages. Many *V. olivaceus* individuals harbored one of two common lineages from the genus *Haemoproteus:* OZ10 ( $n = 13$ ) and OZ12 ( $n = 14$ ). From young individuals (HY) we recovered proportionally fewer infections of OZ12 relative to OZ10 (G = 4.64, df = 1,  $P = 0.03$ ). Regardless of age, individuals infected with OZ10 also had higher levels of heterophils than did those infected with OZ12 ( $F_{1,25} = 4.3$ ,  $P = 0.05$ ), although they did not differ in terms of other types of white blood cells. *I. virens* harbored two lineages of *Plasmodium*: OZ01 ( $n = 5$ ) and OZ08 ( $n = 14$ ). Relative to OZ01, infections of OZ08 were found in fewer young individuals (HY) than in older individuals (AHY;  $G = 4.75$ ,  $df = 1, P = 0.03$ ). However, white blood cell levels did not differ significantly between individuals infected with one or the other lineage. We did not test for differences in haptoglobin in either of these cases because we lacked samples.

#### **Discussion**

Haemosporidian prevalence varied significantly across host species in our system, allowing us to investigate the ecological component of immune response to infection across hosts within a single community. We quantified circulating levels of white blood cells as a measure of immune function and response to infection, and found that two cell types (heterophils and lymphocytes) were elevated in infected individuals across species (Supplementary Table 2). Furthermore, we found no evidence for an interaction between host species and infection status in either heterophils or lymphocytes indicating that the response to infection was generalized across species.

We also quantified circulating levels of the acute-phase protein haptoglobin to assess the degree to which haemosporidian infection elicited an inflammatory immune response in the host. Haptoglobin has been shown to increase in experimentally infected



canaries in a laboratory setting (Cellier-Holzem et al. 2010) but it is unclear whether wild birds would respond similarly. We did, however, find that haptoglobin was elevated in infected individuals. Moreover, it did not vary across four of the better sampled hosts, indicating that wild birds do mount an inflammatory immune response to haemosporidian infection and that the response is consistent across species. In caged canaries, which are highly susceptible to infection, haptoglobin remained elevated up to twenty days postinfection, when parasitemias were higher than they were in the individuals in our study (Cellier-Holzem et al. 2010). Indeed, 45.3% of infected individuals in our study had parasitemias lower than 1 parasite in  $10<sup>4</sup>$  host red blood cells, however those individuals had indistinguishable haptoglobin levels from individuals with higher parasitemias (analysis not shown).

We also applied a multivariate analysis to white blood cell responses to infection and found that infected individuals concentrate in a small portion of the overall white blood cell multivariate space characterized by elevated heterophil and lymphocyte counts (Figure 2). Moreover, haemosporidian infected birds share white blood cell profiles with some individuals that are uninfected by haemosporidians, but which might be infected by other parasites or pathogens that we did not sample. In chickens, for example, "infectious bronchitis virus" causes an increase in infiltration of heterophils and lymphocytes in various tissues (Raj and Jones 1997); lymphocytes in the peripheral blood also are known to interact with avian influenza viruses in chickens (Suarez and Shultz-Cherry 2000). Moreover, heterophils in the peripheral blood of chickens express a broad range of Tolllike receptor mRNAs, demonstrating their importance as the first line of defense against bacterial, viral, fungal, and other parasitic infections (Kogut et al. 2005). While further investigation is needed, these results indicate the potential for white blood cells as general indicators of infection. However, an alternative hypothesis is that haemosporidian parasites infect individuals that have a particular white blood cell profile (i.e., higher heterophils and lymphocytes) more readily than others. Ultimately experimental infections will need to be performed to resolve the mechanism behind the pattern we described.

Contrary to expectation, we found no differences between the effects of the two most common parasite genera (*Plasmodium* and *Haemoproteus*) on blood parameters. In one case, however, different parasite lineages of the genus *Haemoproteus* (OZ10 and OZ12) were associated with different levels of heterophils in infected individuals of *Vireo olivaceus*. We did not find a similar difference in any of the other variables measured. We also found no differences in host response to two well-sampled *Plasmodium* parasite lineages that infected *Icteria virens* emphasizing the idiosyncratic, complex nature of ecological interactions between hosts and parasites in this system. Haemosporidian lineage-specific responses by hosts have been documented in experimentally infected mouse populations (Jarra and Brown 1985), and Palinauskas et al. (2011) demonstrated that parasitemias of two different species of *Plasmodium* differed during the course of co-infection in two species of birds. While it is hard to quantify the level of parasite-specific immunity in our system without performing experimental infections, our results indicate the potential for variation in the specificity of immune responses to parasite lineages.

Parasite prevalence differed significantly among age classes in our sample, with younger individuals (HY) being less often infected than older individuals. Ricklefs et al.



(2005) found a similar, though non-significant, trend in birds from the same location sampled several years earlier. In a study of pied flycatchers (*Ficedula hypoleuca*), Dale et al. (1996) found that younger individuals were less often infected with *Haemoproteus* than were older individuals, but there was no significant effect of age on the prevalence of *Trypanosoma*, another blood parasite. When we analyzed infection data at the lineage level in two well sampled hosts, we found that some lineages infect a greater proportion of older individuals than expected while others do not, again hinting at the complexity of the ecological relationships between haemosporidian parasites and their hosts and perhaps suggesting differing parasite strategies. This overall pattern of younger individuals harboring fewer infections than older individuals might reflect a general accumulation of chronic infections in individuals with age, or could be the result of younger birds dying more often as a result of infection.

Measures of host condition can indicate the severity of health effect of parasite infection. On such measure is hematocrit, the proportion of red blood cells in the peripheral blood. We measured hematocrit in a subset of hosts, expecting from experimental studies that haemosporidian infection would depress hematocrit. This pattern has been shown in wild (Booth and Elliott 2003) and experimentally manipulated (Garvin et al. 2003; Palinauskas et al. 2008, 2009; Cellier-Holzem et al. 2010) avian populations. We did not detect such a pattern in our data. However, birds in our sample did not exhibit the high parasitemias of acute-stage infections observed by Cellier-Holzem et al. (2010), and while Booth and Elliott (2003) examined many of the same species that were present in our sample, their study was based on blood smears and therefore might have missed infected individuals with low parasitemias but nonetheless detected by PCR. In a study of sage-grouse (*Centrocercus urophasianus*), Dunbar et al. (2003) also failed to find a relationship between infection status and hematocrit in birds with low-intensity, chronic infections. Interestingly, we did not find a relationship between parasitemia and hematocrit in our study (analysis not shown). Booth and Elliott (2003) surveyed birds in Louisiana and Connecticut, however, and perhaps host responses to infection vary across the regional space.

Our study of the variation in host responses to haemosporidian infection across a single ecological assemblage of hosts illustrates the complexity of host-parasite interactions in this system. Our data show that while overall host immune responses to infection appear to be generalized, individual host species can respond differently to specific parasite DNA lineages. Future studies can contribute to our understanding of host-parasite interactions in this system most effectively through experimental infections. These types of studies could clarify the extent to which parasite lineage-specific immune responses exist across multiple host species.

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Figure 1





Fig. 1—Maximum-likelihood phylogeny of the *Plasmodium* and *Haemoproteus* lineages in this study. Three lineages had associated morphospecies, OZ01 is *Plasmodium elongatum* (GenBank Accession no. DQ368381), OZ03 is *Haemoproteus paruli* (AF465563), and OZ14 is *Plasmodium cathemerium* (AY377128).

Figure 2



Fig. 2— Frequency distribution of individual scores separated into groups of haemosporidian-infected (light gray) and uninfected (black) individuals on the linear discriminant axis (LDA) based on the frequencies of four types of white blood cells. The



dark gray corresponds to areas of overlap in the frequency distributions of the two groups. Infected birds occupy a portion of the overall white blood cell multivariate space.



Table 1—Parasite lineages recovered from each host species in this sample from the Missouri Ozarks in 2011. Parasite lineages are listed in the first row of the table (with the prefix "OZ" omitted), and host species are listed in the first column with their respective sample sizes in parenthesis. Parasite lineages of the genus *Plasmodium* are highlighted in boldface font, *Leucocytozoon* in italics, and *Haemoproteus* in normal type. We did not find haemosporidian infection in four species (*Vermivora cyanoptera*, *Coccyzus americanus*, *Poecile carolinensis*, and *Setophaga discolor*).







Supp. Table 1—Prevalence of haemosporidian infection separated by parasite genus, and including an overall prevalence with all parasite genera grouped.

# Supplementary Table 2

Supp. Table 2—All the best models (i.e., highest-model weight according to AICc) found in our analysis. The estimate of the coefficient and the unconditional variance (estimated following Buckland et al. 1997) for each of the parameters in the best models are averaged across all models in the AICc analysis. Categorical explanatory variables all have two or more levels, one of which is not presented in the model tables as it is used as a baseline to which the estimate of the coefficients for the remaining levels should be compared. This is the standard way R estimates coefficients in linear models with categorical predictor variables. For example, the variable "Infection Status" has two levels, infected and uninfected. The estimate of the coefficient of the level infected in the





haptoglobin model is  $0.203 \pm 0.024$  indicating that being infected increases haptoglobin levels about 0.2 times more than being uninfected.







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Supp. Table 3—White blood cell means and standard errors for all birds that tested PCR positive for haemosporidian infection.







Supp. Table 4—White blood cell means and standard errors for all birds that tested PCR negative for haemosporidian infection.







Supp. Table 5—Haptoglobin and hematocrit means, standard errors, and sample sizes for all birds that tested PCR positive for haemosporidian infection.







Supp. Table 6— Haptoglobin and hematocrit means, standard errors, and sample sizes for all birds that tested PCR negative for haemosporidian infection.







Supp. Table 7—Mean mass and standard error for host species summarized separately for infected and uninfected individuals.









Supp. Table 8—Genbank accession numbers for all of the haemosporidian sequences identified in this study.



Supplementary Figure 1





Number of parasites in 10^4 red blood cells

Supplementary Figure 1—A frequency distribution of parasitemia, measured as the number of parasites in  $10<sup>4</sup>$  red blood cells, for all the birds that both screened positive for infection using PCR and had their blood smears read. The distribution is highly skewed with many infected birds having very low level parasitemias.

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# **Chapter 2**

# Host immune responses to experimental infection of *Plasmodium relictum* (lineage SGS1) in domestic canaries (*Serinus canaria*)

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### *In revision at Parasitology Research*

#### **Abstract**

Understanding the complexity of host immune responses to parasite infection requires controlled experiments which can in turn inform observational field studies. Birds and their malaria parasites provide a useful model for understanding host-parasite relationships, but lack a well described experimental context for how hosts respond immunologically to infection. Here we infected 10 canaries (*Serinus canaria*) with the avian malaria parasite *Plasmodium relictum* (lineage SGS1) in a controlled laboratory setting with 10 uninfected (control) birds. We repeatedly measured a suite of immunological blood parameters including the density of four white blood cell types, the concentration of the acute phase protein haptoglobin, and the bacteria-killing ability of blood plasma over a 25 day period covering the acute phase of the infection. Three infected and one control bird died during the course of the experiment. A multivariate statistical analysis of the immune indices revealed significant differences between infected and uninfected individuals between 5 and 14 days post infection (dpi). Group differences corresponded to reduced densities of lymphocytes (5 dpi), heterophils (8 dpi) and monocytes (11 and 14 dpi), and an increase in haptoglobin (14 dpi), in infected birds relative to uninfected controls, and no change in bacteria-killing. Upon re-running the analysis with only the surviving birds, immunological differences between infected and control birds shifted to between 11 and 18 dpi. However, we found no clear correlates between immune parameters and likelihood of surviving the infection. Our results demonstrate the dynamic and complex nature of avian immune function during the acute phase of malaria infection and provide a context for studies investigating immune function in wild birds.

**Keywords** Avian malaria, bacteria-killing, ecoimmunology, haptoglobin, Haemosporida, *Plasmodium*

#### **Introduction**



Parasites adversely affect host individuals and populations and may exert strong selective pressures on the expression and evolution of host life history traits, including immune defenses. Studying the intimate relationships between hosts and parasites and how hosts fight infection and deal with the costs of parasitism is essential to understand variation in immune defenses in the wild. Ecoimmunology (Sheldon and Verhulst 1996; Demas and Nelson 2012) seeks explanations for this immune variation in wild animals, but ethical considerations often restrict researchers from conducting controlled experiments in the field. The inability to experiment in the field represents a barrier to disentangling the causes and the consequences of relationships between immune function and parasite infection. As such, laboratory studies relying on experimental infections represent an opportunity to study host-parasite interaction within a controlled framework and inform field studies.

Avian malaria parasites of the genus *Plasmodium* (order: Haemosporida) are globally distributed, common parasites of birds (Valkiūnas 2005; Beadell et al. 2006). The life-cycles of avian malaria parasites alternate between sexual reproduction in a dipteran host and asexual reproduction in an avian host (Cornet and Sorci *In Press*; Valkiūnas 2005). Upon parasite inoculation, and after the prepatent period which corresponds to the few days required to observe parasites in the blood, birds typically undergo an "acute phase" of infection leading to a surge of parasites in the peripheral blood (peak of parasitemia). Infection is usually rapidly controlled by the host immune system in birds (Atkinson et al. 2001), as well as in rodents (Taylor-Robinson et al. 1993; Oakley et al. 2013) and humans (Good et al. 2005). Following this immune activation, birds enter a "chronic phase" of infection characterized by a longer period of low parasitemia which may last the lifetime of the individual (Bishop et al. 1938; Valkiūnas 2005; Cornet et al. 2014, but see Latta and Ricklefs 2010 and Wood et al. 2013 for evidence that hosts can clear infections).

In the wild, captured birds rarely present acute phase infections (perhaps because of a sampling bias) and most studies comparing immune parameters and malaria infection in wild birds are restricted to the chronic phase of infection. Ricklefs and Sheldon (2007) looked at chronic haemosporidian infections (i.e., avian parasites in the genera *Haemoproteus* as well as *Plasmodium*) in relation to host white blood cell densities in the avian hosts *Turdus migratorius* (North America) and *Turdus grayi* (Panama). They found that *T. migratorius* had elevated lymphocytes and eosinophils in infected relative to uninfected birds in one but not another location (however, the locations were sampled in different seasons which may have also contributed to immune differences). Furthermore, they found that while *T. grayi* generally had lower white blood cell counts than *T. migratorius*, individuals appeared to maintain infections at lower parasitemias than their North American congeners. These results indicate that there may be variation in how avian immune systems have evolved to control malaria infections. In another study of haemosporidian parasites of birds, Ellis et al. (2014) found that heterophils and lymphocytes were elevated in chronically infected birds relative to uninfected birds across several host species within a single locality. The authors also found evidence of parasite-specific immune responses in one well-sampled host (*Vireo olivaceus*), but not



another (*Icteria virens*), again indicating some degree of variation in how hosts respond to chronic infections.

Whether or not a correlation is found between immune effectors and chronic parasitemia does not necessarily mean that the effector is involved in the response against the parasite. Such claims should be experimentally validated. To this end, the use of experimental infections is appropriate to study the relationship between the avian immune system and malaria infection. For example, Cellier-Holzem et al. (2010) infected canary hosts (*Serinus canaria*) with the malaria parasite *Plasmodium relictum* (lineage SGS1) and found an increase in the acute-phase immune system protein haptoglobin (Hp) in infected birds within 12 days of the initial infection. However, in a subset of birds reinfected with the same parasite, Hp again increased even though parasitemias were lower than in the initial infection, possibly suggesting that Hp alone may not control infection. In a similar experimental system, Bichet et al. (2012) demonstrated a role for nitric oxide in the blood in reducing parasitemia during the acute phase of infection. However, higher parasitemias in canaries with experimentally reduced nitric oxide concentrations did not result in higher physiological costs compared to control birds (Bichet et al. 2012), again suggesting the involvement of other parts of the immune system. In another experimental system, Atkinson et al. (2001) documented an increase in plasma antibodies in Hawaii amakihi (*Hemignathus virens*) only a week after infection with the parasite *P. relictum*. Antibodies remained in the host blood more than three years after initial infection and likely provided resistance to reinfection with the same malaria strain (Atkinson et al. 2001). These three experimental studies highlight that bird immune response to *Plasmodium* infection is complex and involves various effectors to control parasite infection.

Elucidating the relationship between avian immune defenses and malaria infection may best be accomplished by measuring a variety of immune parameters simultaneously to capture the complexity of the immune system (Matson et al. 2006a; Buehler et al. 2011). Here, we measured several immune parameters in canaries experimentally infected with the avian malaria parasite *P. relictum* (lineage SGS1). Immune parameters, including the densities of four white blood cell types, Hp, and the bacteria-killing ability (BKA) of the blood plasma, were continued for 25 days, thereby covering the entire acute phase of infection. BKA primarily reflects the activity of complement proteins (Matson et al. 2006b; Merrill, unpublished data). Complement proteins are able to opsonize or lyse invading cells (Esser 1994), as well as direct antigen to lymphoid organs thereby lowering the threshold for B-cell activation (Ochsenbein and Zinkernagel 2000). These proteins can be a critical component of host defenses against pathogens (Matson et al. 2006b). We took a multivariate statistical approach to better understand the immune system response to the acute phase of avian malaria infection, and sampled repeatedly during infection to capture fine-scale immune system dynamics. We were particularly interested in testing whether these commonly measured immune parameters were influenced by malaria infection in a controlled laboratory setting.

#### **Materials and methods**



#### Experimental design

Prior to the experiment, one year old canaries were screened for haemosporidian infections and sexed using the molecular protocols of Waldenström et al. (2004) and Griffiths et al. (1998), respectively. All birds were found to be negative for blood infections. We randomly assigned birds to the infected  $(n = 10)$  or control (i.e., uninfected;  $n = 10$ ) treatment groups while maintaining a similar sex-ratio between groups (infected: 5 males, 5 females; control: 4 males, 6 females). We kept all birds in small indoor cages with *ad libitum* food and water.

We used a strain of *P. relictum* lineage SGS1 maintained in naïve canaries and *Culex pipiens* mosquitoes in captivity (Cornet et al. 2013; Cornet et al. 2014), initially isolated from house sparrows (*Passer domesticus*) in France. To infect birds we used the following protocol: ca. 200 µl of blood was collected from each of four parasite-infected donors and mixed with equal parts phosphate buffered saline solution (PBS) and 100 µl of the infected blood solution was injected intraperitoneally into each naïve canary assigned to the infected group. Similarly, control birds received a 100 µl injection of uninfected blood (sampled from 4 uninfected birds, prepared as previously described).

We monitored infected and control birds during a 25 day period. We took a blood sample (ca.  $100 \mu l$ ) from the brachial vein via a small puncture before the infection (0) days post-infection; hereafter, "dpi"), and then on 5, 8, 11, 14, 18, 21, and 25 dpi. At each sampling time point we weighed each bird and used the blood samples to quantify hematocrit (i.e., the volume of red blood cells per total volume of blood measured after centrifugation for 5 min at 10,000 rpm in a capillary tube) and to make a single blood smear. We centrifuged the remaining blood  $(4^{\circ}C, 10 \text{ min}, 10,000 \text{ rpm})$  to separate plasma from the packed red blood cells. Plasma was separated into different tubes for the Hp and BKA assays and immediately frozen at -80°C until the assays were run.

#### White blood cells and parasitemia

We stained all blood smears following a standard Geimsa based protocol (Valkiūnas 2005) and all smears were read by a single experienced person (MRK). White blood cell (WBC) densities were determined by first counting the number of WBCs per 10<sup>4</sup> red blood cells (RBC) at 400X magnification, providing a total WBC density estimate. We then determined the proportion of different WBC types by classifying 100 WBCs, read at 1000X magnification, as either heterophils, eosinophils, lymphocytes, or monocytes (Clark et al. 2009). Basophils were excluded from the analysis due to rarity in our samples. We calculated the density of each WBC type for a given sample by multiplying the proportion of cells (i.e., the count of 100 WBCs) by the total WBC density estimate. We estimated parasitemia by counting the number of parasitized RBCs present in approximately  $10^4$  RBC at 400X magnification.

Bacteria-killing assay (BKA) and haptoglobin (Hp)



The methods for the BKA assay we used were derived from Matson et al. (2006b), Millet et al. (2007) and Morrison et al. (2009). In brief, we added 5  $\mu$ L of plasma to a combination of  $CO_2$ -independent media (Gibco, Invitrogen) plus 4mM Lglutamine (90  $\mu$ L), and bacterial broth (10  $\mu$ L), incubated the solution for 30 min at 40<sup>o</sup>C, then pipetted out 50  $\mu$ L in duplicate onto agar plates which were then incubated at 37<sup>o</sup>C overnight. We counted the number of colonies the following day and compared them to control plates in which the bacterial broth and phosphate buffered saline (PBS) were incubated together without any plasma. BKA was determined by subtracting the mean number of colonies counted for a bird's two plates from the mean number of control colonies, and then dividing that by the control mean. We measured BKA at days 0, 11, 14, and 25 post-infection. We were unable to measure BKA on one individual at day 25 post-infection. We measured plasmatic haptoglobin concentrations for all individuals at all sampling time points using a commercially available kit (Tridelta Diagnostics, product code TP-801, Morris Plains, NJ) following the "manual method" provided by the manufacturer.

#### Statistical analyses

We took a multivariate approach to our immune system data. We fourth-root transformed the white blood cell densities to more closely approximate normal distributions. At each sampling point we performed a linear discriminant analysis (LDA) on the immune parameters (i.e., transformed WBC densities, BKA, and Hp; BKA and Hp were not normally distributed but several transformations did not change the results) and used experimental treatment (i.e., infected vs. control) as the grouping variable. The resulting standardized discriminant function coefficients indicate the relative importance of each immune variable in accounting for variation among experimental treatment at each sampling period (for a detailed description of LDA see Legendre and Legendre 1998). We inspected the standardized discriminant function coefficients and the distribution of the two experimental treatments across the linear discriminant axis and tested for a statistical difference between the experimental treatments in multivariate space using MANOVA with the Pillai-Bartlett trace. All MANOVAs were re-run using a randomization procedure to calculate *P*-values; all randomization-based *P*-values were similar to those reported here and are not reported separately. We also examined the relationship between immune variables (response variable) and natural log (plus one) transformed parasitemia (explanatory variable) across sampling points using linear mixed effects models in the R package lme4 (Bates et al. 2014); we calculated *P*-values for these models using the Kenward-Rogers approximation with the R packages pbkrtest (Halekoh and Højsgaard 2014) and lmerTest (Kuznetsova et al. 2014). We used nonparametric statistics for all other tests. We ran all of our statistical analyses in R v3.0.2 (R Core Team 2013), and all graphics were produced using the R package ggplot2 (Wickham 2009). The original data are available in Appendix A.

#### **Results**

Parasitemia dynamics



During the course of the experiment, three birds from the infected group and one control bird died ( $G = 1.3$ , df = 1,  $P = 0.25$ ). Parasitemia in the infected group excluding those birds that died—followed the typical rise and fall that characterizes the acute phase of infection: parasitemia peaked between 8 and 11 dpi, and subsequently decreased to low levels by 21 dpi (Figure 1). None of the control birds developed infections during the experiment. Two of the birds from the infected group died after 14 dpi with 91.45% and 50.99% of their RBCs parasitized; a third from the infected group that died after 21 dpi, had 69.14% of its RBCs parasitized at 14 dpi (Figure 1). All three of these individuals had significantly more parasitized RBCs (i.e., higher parasitemias) than the rest of the infected group at 14 dpi (Mann-Whitney U test,  $P = 0.02$ ).

#### Comparison of infected and control groups

Linear discriminant analyses and MANOVAs revealed differences in immune parameters between experimental groups (i.e., infected and control) from 5 to 14 dpi. These differences generally corresponded to a decrease in lymphocytes (5 dpi), heterophils (8 dpi), and monocytes (11, 14 dpi), and to an increase in Hp (14 dpi) in infected relative to control birds (see Figures 2 and 3 for a graphical summary of the raw data, and Table 1 for the standardized discriminant function coefficients and MANOVA results).

We then re-ran the analyses without the four birds that died during the experiment (i.e., an analysis on "survivors" only). In this second set of analyses we found the differences in immune parameters between treatments to occur later, from 11 to 18 dpi (Table 2). These differences were related to an increase in BKA (11 dpi), a decrease in monocytes and an increase in Hp (14 dpi), and a decrease in heterophils and lymphocytes (18 dpi) in infected relative to control birds (Figures 2 and 3, and Table 2).

Hematocrit in infected birds dropped precipitously relative to controls between 8 and 11 dpi and recovered by about 18 dpi (Figure 2B). Interestingly, hematocrit in the infected group was only significantly related to parasitemia at 14 dpi (Spearman's rho  $=$  -0.74,  $P = 0.01$ ). This negative relationship with hematocrit appears to be driven by two of the birds which later died from their infections (result not shown) although a comparison of hematocrit values of the three birds that died with the rest of the infected group at 14 dpi was not significant (Mann-Whitney U test,  $P = 0.17$ ). We found no other relationships between hematocrit and parasitemia.

#### Correlates of immune function and survival

We tested for differences in immune parameters between infected birds that died ("non-survivors") and those that did not ("survivors"). At the start of the experiment (0 dpi), the seven survivors had significantly fewer monocytes in their peripheral blood (Mann-Whitney U test,  $P = 0.02$ ) and higher hematocrit ( $P = 0.02$ ) than the three nonsurvivors; the difference in hematocrit persisted to 5 dpi  $(P = 0.02)$ , while the difference in monocytes did not  $(P = 0.38)$ . While the multivariate analysis restricted to survivors (comparing infected and uninfected birds) revealed an increase in BKA at 11 dpi, we did


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not find a significant difference between the BKA of survivors and non-survivors at 11 dpi  $(P = 0.12)$ . The multivariate analysis restricted to survivors also revealed a decrease in heterophils and lymphocytes in infected birds relative to controls at 18 dpi, however we did not find significant differences between survivors and non-survivors at 18 dpi for either immune parameter  $(P = 0.19, P = 0.25$ , respectively).

We also tested for relationships between the immune parameters we measured and parasitemia in the infected group using survivors and non-survivors together. We first looked at all sampling periods together using linear mixed effects models with the individual bird identification set as a random effect. As expected we found a negative relationship between hematocrit and parasitemia (estimate of coefficient(β) = -0.02, standard error (se) =  $0.003$ ,  $P < 0.001$ ). The results were mixed with regards to the relationship between immune parameters and parasitemia. Of the white blood cells (all fourth-root transformed prior to analysis), heterophils (β = 0.04, se = 0.02, *P* = 0.01) and eosinophils ( $\beta$  = 0.06, se = 0.02, *P* = 0.02) were positively related to parasitemia, while monocytes ( $\beta$  = -0.06, se = 0.02, *P* = 0.002) were negatively related to parasitemia, and lymphocytes ( $\beta$  = -0.02, se = 0.02,  $P = 0.30$ ) were not related to parasitemia. Both Hp (log-transformed) and BKA were not related to parasitemia across sampling periods ( $P =$ 0.17 and 0.53, respectively). We also looked for relationships with parasitemia within sampling periods using non-parametric Spearman's correlation tests. We found positive relationships between Hp and parasitemia at 8 dpi (Spearman's rho  $= 0.66$ ,  $P = 0.04$ ), and between heterophils and parasitemia (rho =  $0.66$ ,  $P = 0.04$ ) and eosinophils and parasitemia (rho =  $0.71$ ,  $P = 0.03$ ) at 11 dpi. Finally, at 25 dpi we found a positive relationship between BKA and parasitemia (rho =  $0.83$ ,  $P = 0.04$ ), however this was driven by the only two birds measured at this time point that had parasites detectable on their blood smears. Each of those two birds had one infected RBC in  $10^4$  RBCs, and had strong BKA values of 0.87 and 0.86. Of the remaining four birds measured for BKA, three had weak BKA values (less than 0.21) and one had a BKA value of 0.62.

### **Discussion**

Immune responses to the initial, acute phase of *P. relictum* infection in canaries are clearly complex and dynamic over a short period of time. How well these immune responses in canaries mirror immune responses in other bird species is difficult to assess without further experimentation. Experimental infection of *P. relictum* in five passerine bird species revealed differences between hosts in susceptibility to infection and parasitemia during infection (Palinauskas et al. 2008). However, similar immune responses to haemosporidian infection have been documented across many host species in the wild (Ellis et al. 2014). Another potential caveat to our results is that we infected birds with blood, which may have itself caused an inflammatory immune response. While differences between treatments still reflect the effects of *P. relictum* it is difficult to know whether the immune profiles of birds infected via mosquito bite would be similar. We chose infect birds with a blood inoculum rather than with a mosquito bite so that we could standardize the number of parasites each bird was infected with. Nevertheless it is clear that future work should replicate this study using mosquitos to infect birds.



Within our experimental system, immune responses may become apparent as early as 5 dpi. Interestingly, the majority of the changes we document involve decreases in circulating white blood cells in infected relative to control birds. This result seems to contrast with previous studies. For example, in a study of blue jays (*Cyanocitta cristata*) experimentally infected with another haemosporidian parasite, *Haemoproteus danilewskyi*, Garvin et al. (2003) found an increase in circulating host lymphocytes and heterophils beginning one week post infection. There was some variation in the response as heterophils then dropped to control levels by the second week post infection before increasing again in the third week (see Figure 3 in Garvin et al. 2003). However, our results may not be contradictory since *Plasmodium* parasites reproduce asexually in the host blood stream while *Haemoproteus* parasites do not (Valkiūnas 2005), which may lead to different immune responses.

Ultimately, antibody production seems to be necessary for long-term control of malaria infections in birds (Atkinson et al. 2001) and other vertebrates (Good et al. 2005, but see caveats therein regarding the importance of cellular responses as well). Lymphocytes are involved in antibody production (Babcook et al. 1996), and have been demonstrated to produce anti-parasitic gamma interferons (IFN) in response to other parasites (Breed et al. 1997); gamma IFN are known to be involved in *Plasmodium* resistance (Schofield et al. 1987). However, we found no relationship between lymphocytes and parasitemia, and in fact found a decrease in lymphocytes in infected relative to control birds at multiple sampling points during our study. In a study of wild birds, Ellis et al. (2014) found elevated lymphocytes in birds infected with haemosporidian parasites. However, like most studies of wild birds and haemosporidian parasites Ellis et al. (2014) worked with chronically infected birds, whereas our study used birds with acute phase infections. It may be that lymphocytes only begin to play a role as infections move to the chronic phase; this is something that future experiments will need to confirm.

One of our most striking results was of survivors initiating immune responses to infection later than all the birds (i.e., survivors and non-survivors) grouped together. In rodent systems, control of the acute phase of *Plasmodium* infection is thought to be at least partially controlled by CD4<sup>+</sup> T cells (Taylor-Robinson et al. 1993), and ironically, the same cells may also contribute to pathology in the host (Oakley et al. 2013). It is interesting to note that survivors in our experiment maintained WBCs below or at the level of control birds throughout the experiment while non-survivors appeared to drive WBC densities up at certain times (Figure 3). The possibility that an early immune response to malaria may prove detrimental to the host cannot be assessed with the data from our experiment but certainly warrants further investigation.

Our experimental design does not allow us to determine whether differences in immune responses between survivors and non-survivors were directly related to parasite control, contributed to host pathology, or were simply consequences of an uncontrolled infection. However, it does appear that survivors were able to control their infections more effectively than non-survivors—two of the three non-survivors appeared unable to reduce their parasitemias at 8 and 11 dpi and parasitemia continued to increase until they



died, while the third non-survivor's parasitemia peaked later, at 18 dpi (Figure 1). Survivors started the experiment with fewer monocytes and higher hematocrit than nonsurvivors, and the difference in hematocrit continued through 5 dpi when parasites were first found on the blood smears of five of the ten infected birds (Figure 1). While high hematocrit may help buffer against the loss of RBCs during peak parasitemia, we found no statistical differences between the hematocrit of survivors and non-survivors at any sampling point after 5 dpi  $(P > 0.17$  for all tests). Possibly, the initial differences in monocytes and hematocrit between survivors and non-survivors were spurious and unrelated to the subsequent infection dynamics, yet it is tempting to speculate. One possibility is that malaria infections are more effective in hosts with certain white blood cell profiles (in this case, elevated monocytes at the start of the experiment); a second is that non-survivors were suffering from an undetected illness (e.g., a virus) at the start of the experiment. Ellis et al. (2014) raised a similar issue when they showed that many uninfected birds had white blood cell profiles (characterized in that study primarily by elevated heterophils and lymphocytes) similar to individuals infected with haemosporidian parasites. This suggested that those uninfected individuals might have been suffering from a different type of infection, or that haemosporidian parasites were better able to establish in birds with that specific white blood cell profile. While it is unlikely that canaries in our experiment were fighting undetected infections, given that husbandry for all birds was identical before the start of the experiment, future experiments should consider co-infecting subjects with malaria and a non-malarial pathogen to determine possible consequences for infection dynamics. Such an approach has been employed frequently in rodent models, with one result being that malaria infections are more severe in the presence of other parasites (e.g., Graham et al. 2005; Knowles 2011).

Relationships between immune parameters and parasitemia might also shed light on how infections are successfully managed. At 8 dpi we found a positive relationship between Hp and parasitemia. Hp is a protein which often increases several-fold in vertebrates in response to infection or injury (Quaye 2008), and has been shown to be elevated across several avian species with chronic phase haemosporidian infections (Ellis et al. 2014) and in canaries with acute phase infection of *P. relictum* (Cellier-Holzem et al. 2010). In humans infected with the parasite *Plasmodium falciparum*, Hp may indeed play a role in controlling parasite infection (reviewed in Quaye 2008). However, in our data we found no evidence for a difference in Hp between survivors and non-survivors, and so we cannot guess at its true role in this system. We also found positive relationships between two WBCs (heterophils and eosinophils) and parasitemia. Heterophils phagocytize invading bacteria and increase in number during the inflammatory blood response in chickens and turkeys; their cellular defensins may also be lethal to a broad range of pathogens (reviewed in Harmon 1998). Eosinophils have also been implicated in parasite resistance (Kiesecker 2002). In birds suffering from chronic phase haemosporidian infections, various white blood cell types have been demonstrated to be elevated in relation to uninfected birds (Ots and Horak 1998; Apanius et al. 2000; Ellis et al. 2014), although this may vary by species and location (Ricklefs and Sheldon 2007). Understanding whether relationships between white blood cells and parasitemia (or parasite prevalence) are reflective of immunological control of infection,



or a by-product of infection, likely will require an experimental approach, such as experimentally elevating white blood cells in birds (Latimer et al. 1988), and then infecting birds with malaria and monitoring parasitemia and survival.

At 25 dpi we found a positive correlation between BKA and parasitemia. This relationship was based on two birds, each with only one infected RBC in  $10^4$  RBCs. BKA was also elevated in infected relative to control birds at 11 dpi in the multivariate analysis restricted to survivors, suggesting that it may play a role in the successful control of parasitemia. However, similar to the WBC and Hp responses, experiments where BKA levels can be manipulated are needed to fully elucidate its function during infection.

Overall our study provides an experimental context for how immune parameters commonly used in field studies (Boughton et al. 2011) respond to malaria infection in birds. Clearly more experiments are needed to fully elucidate the causes and consequences of these immune responses. Nevertheless our results are readily applicable to studies of ecoimmunology. For example, through fine-scale temporal sampling we show that immune responses may be highly dynamic over short time scales. Recent work has shown that immune profiles can vary across seasons and years (Buehler et al. 2008; Hegemann et al. 2012), but our study indicates that when birds are infected with malaria, immune profiles may even shift over a matter of days. We also confirm that multiple immune parameters should be measured to capture immunological variation in response to infection (Buehler et al. 2011). Future experimental studies should focus on manipulating the immune responses we have identified to elucidate their roles in controlling infection, and should attempt to integrate more avian species into this experimental framework.

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**Ethical standards** The experiment was approved by the Ethical Committee for Animal Experimentation (CNRS) and the French Ministry of Education and Research (permit number CEEA-LR-1051).

**Conflict of interest** The authors declare that they have no conflict of interest.

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### **Tables and Figures**

Table 1— Standardized discriminant function coefficients resulting from the LDA performed on all birds (survivors and non-survivors) at each sampling period, with experimental treatment as the grouping variable. Note that the coefficients indicate relative importance of each measure in separating the treatments (see Methods). Also the Pillai-Bartlett trace from the MANOVA and associated *P*-value at each sampling period. A significant *P*-value indicates that the null hypothesis of no difference between treatments based on the immune variables can be rejected.



Standardized Discriminant Function Coefficients and MANOVA results (all birds)



Table 2— Standardized discriminant function coefficients resulting from the LDA restricted to survivors with experimental treatment as the grouping variable; for 25 dpi see Table 2 (only survivors are represented at 25 dpi). Also the Pillai-Bartlett trace from the MANOVA and associated *P*-value at each sampling period.

Standardized Discriminant Function Coefficients and MANOVA results (surviving birds only)

<i>Immune Variables</i>	0dpi	5dpi	8dpi	11dpi	14dpi	18dpi	$21$ dpi
Heterophils	$-1.83$	1.83	$-5.11$	0.39	$-0.78$	$-3.34$	0.02
Eosinophils	2.87	0.70	1.78	$-0.18$	$-0.67$	$-1.31$	0.13
Lymphocytes	$-1.90$	$-4.14$	$-1.13$	$-2.93$	1.54	$-3.90$	$-1.13$
Monocytes	1.32	1.02	$-0.78$	$-1.94$	$-7.26$	$-0.29$	1.21
Hp	3.39	$-1.65$	$-0.88$	$-1.06$	7.10	$-0.22$	9.69
<b>BKA</b>	0.08	<b>NA</b>	<b>NA</b>	2.44	$-0.80$	<b>NA</b>	<b>NA</b>
Pillai-Bartlett Trace	0.18	0.51	0.39	0.73	0.61	0.72	0.48
<b>MANOVA P-value</b>	0.91	0.16	0.34	0.03	0.12	0.01	0.20

Figure 1



Figure 1—The natural logarithm of parasitemia plus one in the infected group measured as the number of infected red blood cells (RBCs) per  $10^4$  RBCs. Each line represents an individual in the infected group, solid lines represent those individuals which survived the experiment (survivors), and dashed lines are individuals which died at some point during the experiment (non-survivors).







Figure 2—The means and standard errors of haptoglobin (**A**), hematocrit (i.e., the proportion of red blood cells in the blood; **B**), and bacteria-killing ability (i.e., the proportion of bacteria killed by blood plasma *in vitro*; **C**). All three graphics are separated into categories "All" and "Survivors", the first represents the data with all birds grouped together, the second only uses data from those birds that survived until the end of the experiment. Treatments are separated by color with control birds represented by black lines and infected birds by gray lines.





Figure 3—The means and standard errors of untransformed numbers of heterophils (**A**), eosinophils (**B**), lymphocytes (**C**), and monocytes (**D**). The units for all four of these white blood cell types is number of cells per  $10<sup>4</sup>$  red blood cells. As in Figure 2, graphics are separated into categories of all birds together ("All") and only birds that survived until the end of the experiment ("Survivors"). Treatments are separated by color with control birds represented by black lines and infected birds by gray lines.



# Appendix A









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# **Chapter 3**

# Regional community structure of avian haemosporidian parasites in eastern North America

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# **Abstract**

We surveyed the avifauna of eastern North America for haemosporidian blood parasites (*Plasmodium* and *Haemoproteus*) to characterize a regional parasite community and understand the forces that determine parasite distributions. Distributions of parasite populations generally reflected those of their hosts across the region. However, controlling statistically for the interdependence between hosts and parasites revealed that while local host assemblages were related to regional climatic gradients, parasite assemblages were not. Furthermore, parasite assemblage similarity did not decrease with distance when controlling for host assemblages and climate, suggesting that parasites disperse readily within the distributions of their hosts. Host specialization varied in some parasite lineages over short time periods and small geographic distances independently of the diversity of available hosts and the diversity of parasite lineages. Nonrandom spatial turnover was apparent in parasite lineages infecting one well-sampled host within a single year suggesting competition between parasite lineages within hosts. Overall, populations of avian hosts generally determine haemosporidian parasite geographic distributions. However, parasites are not dispersal-limited within their host distributions, and they may switch hosts relatively frequently.

Keywords: Avian malaria | community assembly | emerging infectious disease | Haemosporida | macroecology | parasite communities

# **Introduction**

A regional community can be thought of as a set of species whose distributions partially overlap within a large geographic area (Gleason 1926; Ricklefs 2008). The structure of



the regional community (i.e., the relative abundances of species across space and the degree to which populations overlap) is governed by local (e.g., interspecific competition) and regional (e.g., species diversification) processes (Ricklefs 1987). Although regional communities include all species, parasites and pathogens are rarely considered integral community members (Poulin 1999). Indeed, the impact of parasites on community structure is frequently associated with epidemics—often following introductions to non-native regions—that have driven naïve hosts to extinction or near extinction (Day & Monk 1974; van Riper III *et al.* 1986; Sinclair *et al.* 2007). However, parasites likely play a critical role in driving regional community structure. They can represent a large proportion of the community biomass (Kuris *et al.* 2008) and can be involved in the majority of links in a community food web (Lafferty, Dobson & Kuris 2006), and they may influence regional diversity by variously driving (Page 2003) or slowing (Ricklefs 2010) host diversification.

Parasite populations are difficult to integrate into community studies, partly because they are distributed across multiple dimensions—space, host species, and host individuals (Combes 2001)—and also because parasites are difficult to sample. Parasites tend to specialize on one or a few host species, but host-breadth may vary across a parasite's range (Poulin, Krasnov & Mouillot 2011). Compounding this complexity, most community studies of hosts and parasites are local and ignore regional patterns and processes.

Regional studies of birds and their dipteran-vectored haemosporidian blood parasites (Apanius *et al.* 2000; Fallon, Bermingham & Ricklefs 2005; Hellgren, Perez-Tris & Bensch 2009; Ishtiaq *et al.* 2010; Levin *et al.* 2011, 2013; Ricklefs *et al.* 2011; Loiseau *et al.* 2012) have shown that many haemosporidian parasites are heterogeneously distributed across space despite the availability of suitable hosts. Host-parasite associations evidently are not shaped by vector-host encounter dynamics (Medeiros, Hamer & Ricklefs 2013), and local coevolutionary relationships between parasites and their hosts likely influence geographic distributions of both host and parasite populations (Apanius *et al.* 2000; Fallon *et al.* 2005; Ricklefs 2010). However, regional studies of these parasites have been mostly restricted to individual host species (Ishtiaq *et al.* 2006; Fallon, Fleischer & Graves 2006; Durrant *et al.* 2008; Sehgal *et al.* 2011; Marzal *et al.* 2011; Swanson, Lyons & Bouzat 2014; Scordato & Kardish 2014).

We surveyed local assemblages of avian haemosporidian parasites across eastern North America and related the distributions of individual parasite lineages to regional climate variation and to the distribution and abundance of their hosts. Axes derived from ordinations of hosts and parasites by their distributions across sample locations were correlated, suggesting interdependence of host and parasite population distributions. However, when controlling statistically for that interdependence, local host assemblages responded strongly to environmental gradients and became more distinct with increasing geographic separation, but parasite assemblages did not. This finding suggests that haemosporidian parasites disperse readily across the distributions of their host populations in eastern North America, independent of regional climate and geographic distance. The degree to which some parasite lineages specialized on particular hosts varied across years and locations. Despite evidence of pathogenicity of haemosporidian parasites in birds (Asghar *et al.* 2015), correlation coefficients between host abundances and parasite relative abundances across the region were statistically indistinguishable



from random. Taken together, these results suggest that the distributions of parasite populations largely follow the distributions of their hosts, but that parasites readily switch hosts across their ranges.

#### **Results**

*Parasite populations track populations of their hosts*. We screened 5867 individuals of 99 bird species from 13 locations in eastern North America (Fig. 1), mostly from the order Passeriformes, and found 1720 (29.3%) infected with haemosporidian parasites of the genera *Plasmodium* or *Haemoproteus*. Overall we recovered 87 distinct parasite lineages (see Table S1 for parasite lineage details; see Materials and Methods for lineage determination). We used ordinations to place sampling locations on axes ecologically meaningful to birds and to parasites (*sensu* (James 1971; Ricklefs 2011). We used Nonmetric Multidimensional Scaling (NMDS) with three axes to ordinate "community" sampling locations (i.e., sites where sampling was not restricted to focal species; Fig. 1, Table S2) separately by bird species abundances and by parasite relative abundances. We restricted this analysis to 33 parasite lineages sampled 10 or more times across the community samples and to 64 host species infected at least once by any of the 87 parasite lineages (indicating suitability) within the region (Table S3). We compared location scores for birds and parasites on the three NMDS axes with canonical correlation. Pillai's trace (the sum of the squared canonical correlations) differed significantly from a random expectation ( $P = 0.012$ ) indicating interdependence between host and parasite populations across the region.

Differences between regional populations of hosts and parasites might reflect dispersal limitation (i.e., geographic distance), environment (e.g., climate or habitat variables), and interactions among hosts and parasites (Fig. 2). To evaluate these relationships, we calculated partial Mantel coefficients for the hypothesized connections in Fig. 2 (Table 1). Partial Mantel coefficients represent the strength of correlation between two distance matrices while controlling for the effect of a third (Legendre & Legendre 1998). For example, the effect of the environment on hosts may not be independent of space (distance between localities). Therefore, the correlation between hosts and environment controlling for the effect of geographic distance can be computed as a partial Mantel coefficient. Because space and climate are independent of birds and parasites, we tested their relationship with a standard Mantel test, which involves no control for a third variable. Here we used distance matrices representing geographic distances between sampling locations (space), and Euclidean distances between sampling locations based on climate (environment). The latter matrix consisted of Euclidean distances based on the first five principal components (PCA) scores for 19 climatic variables (BioClim variables, [http://www.worldclim.org/\)](http://www.worldclim.org/) downloaded for each location, weighted by the proportion of variation explained by each PCA axis (Fig. S1, Table S4). We also determined dissimilarities between sites based on their parasite lineages or host assemblages. These were calculated as Bray-Curtis dissimilarities (Odum 1950; Bray & Curtis 1957; Legendre & Legendre 1998) which are appropriate for comparing species abundance data (see Materials and Methods). Partial Mantel tests between these distance matrices revealed that while host populations are related to variation in climate across eastern North America, parasite populations, when controlling for the effect of hosts, are not (Table 1). Furthermore, parasite community similarity does not decline with distance



(i.e., parasite distributions were not spatially restricted ((Nekola & White 1999) when controlling for hosts, suggesting that parasites disperse readily across the region within their host populations.

*Host specialization.* The host-breadth of a parasite may vary geographically or temporally, and it may be limited by the phylogenetic relatedness of potential host species (Poulin *et al.* 2011). Indeed, in our Chicago sample, each *Plasmodium* parasite lineage is associated with a single host taxon at the superfamily level (Medeiros *et al.* 2013). To elucidate the importance of host phylogeny on parasite distributions across the region, we created a phylogenetic distance matrix for all hosts infected at least once by any of the 33 parasite lineages sampled 10 or more times (60 host species). We then calculated a second matrix by computing Bray-Curtis dissimilarities between those hosts based on the number of times each host species was infected with each of the 33 parasite lineages. A Mantel test comparing these two matrices showed a weak, but significant correlation ( $r = 0.23$ ,  $P < 0.001$ ) indicating that parasite host distribution is constrained to more closely related hosts than expected by chance.

To quantify the host-breadth of each parasite, we used the Gini-Simpson index (Jost 2006), which accounts for the number of infections on each host species (Poulin *et al.* 2011). We weighted the index by the phylogenetic distance between hosts using the formula for Rao's Quadratic Entropy (Rao's QE, (Rao 1982; Medeiros, Ellis & Ricklefs 2014); see Materials and Methods for formula). Although ecologists often distinguish generalist and specialist parasites, host-breadth in the 33 parasite lineages sampled 10 or more times was continuously distributed (Fig. S2) and did not differ statistically from a unimodal distribution (Hartigans' dip test,  $D_{33} = 0.047$ , P = 0.87, (Hartigan & Hartigan 1985).

When all years were pooled, parasite lineages recovered at least four times from each of at least four community sampling locations exhibited variation in local hostbreadth across the region (Fig. 3). A linear mixed effects model with parasite lineage as a random effect showed no effect of local phylogenetically-weighted bird diversity (Rao's QE using host species infected at least once in the region) on parasite host-breadth ( $F_{1,21,4}$ )  $= 1.26$ ,  $P = 0.27$ ), suggesting that variation in host-breadth is not simply due to the number of available hosts. Furthermore there was no effect of local parasite diversity on parasite host-breadth  $(F_{1,21.2} = 2.41, P = 0.14)$ . For example, parasite lineage LA01 was recovered exclusively from *Dumetella carolinensis* (DCA) in Chicago, Illinois (23/157 DCA hosts infected; years sampled 2006, 2007), Connecticut (4/45; 2002 and 2003), and Michigan (11/94; 2012). However, in the 2013 Tennessee sample, LA01 was recovered from the hosts *Mimus polyglottos* (2/9 infected), *Cardinalis cardinalis* (1/36), and *Spinus tristis* (1/19), while the two DCA hosts sampled in Tennessee were both uninfected. LA01 also infected DCA exclusively in the western Chicago location (6/7) in 2014, while it infected DCA (2/6) and *Toxostoma rufum* (1/7) in Champaign, Illinois, in the same year (although those were not community samples). To determine whether local host-breadth differed from a random expectation, we restricted our dataset to those five host species infected by LA01. We then shuffled all parasite lineages infecting those hosts within sampling locations and recalculated a randomized host-breadth for LA01 (9999 randomizations) and compared it with observed host-breadth. In Chicago the host-breadth of LA01 was lower than expected by chance  $(P < 0.001)$ , while in Tennessee it was



higher than expected by chance  $(P = 0.019)$ . The host-breadth of LA01 did not differ from random in Connecticut and Michigan because there were no infected alternate hosts in either location.OZ06 also exhibited great variability in its host-breadth (Fig. 4). The host-breadth of OZ06 was lower than expected based on a random distribution in Michigan (P = 0.003), Indiana (P < 0.001), and Tennessee (P = 0.030), but was no different than random in Chicago ( $P = 0.758$ ) and the Ozarks ( $P = 0.943$ ).

Such variation may reflect temporal change in the host-breadth of parasites. Within individual years, parasite lineages sampled more than three times at multiple locations mostly showed little variation in host-breadth. However, in 2013, OZ14 infected the host *M. melodia* almost exclusively in Pennsylvania (6/12 *M. melodia* infected, also 1/3 *Pipilo erythrophthalmus*, and 1/1 *Pheucticus ludovicianus*), but infected a variety of species in Tennessee (6/50 *Passerina cyanea* individuals infected, and 12 more infections in nine other species) and Indiana (six infections recovered across five species). Host-breadth of OZ14 was higher than expected based on a random distribution in Indiana ( $P = 0.050$ ), no different than expected in Tennessee ( $P = 0.127$ ), and, while low, still within the random expectation in Pennsylvania ( $P = 0.082$ ). Overall, these results demonstrate that parasite host-breadth can indeed exhibit geographic variation independent of temporal effects, and that these differences do not merely reflect the array of potential host species available or the local diversity of parasites.

*Competition among parasites*. Tests for competition between parasite lineages across the region are likely confounded by idiosyncratic host-parasite associations. Nevertheless, we did find evidence of parasite lineage replacement across locations within our bestsampled host in 2013, *C. cardinalis* (CCA, Fig. 4). We restricted our analysis to the four locations in which CCA was well-sampled in 2013 and to parasite lineages recovered at least 9 times from CCA across those locations. Prevalences of all parasite lineages of CCA except for OZ14 were significantly heterogeneous across sampling locations (Table 2). Furthermore, at each location CCA harbored a single dominant parasite lineage, making each location's parasite assemblage distinct. To test whether these parasite communities differed more from each other than one would expect by chance, we calculated the mean Bray-Curtis dissimilarity between the four locations based on parasite lineage prevalence and compared it to a distribution of randomized average dissimilarities. We created a randomized parasite-by-location matrix by shuffling parasite lineages among infected birds, recalculating prevalence for each lineage at each site, and then calculating the randomized mean Bray-Curtis dissimilarity 9999 times. Mean observed dissimilarity between sites based on parasite prevalence greatly exceeded the randomized average dissimilarities ( $P < 0.001$ , Fig. S3), confirming that location-parasite combinations were more distinct than expected by chance.

*Parasites and host abundance*. We tested for a relationship between host abundance and parasite relative abundance by calculating pairwise Spearman correlation coefficients (ρ) between all host abundances and parasite relative abundances across the region. We hypothesized that pathogenicity of these parasites (Asghar *et al.* 2015) would result in more negative correlations than expected by chance. We restricted our analysis to "community" sampling locations and parasite lineages sampled at least 10 times and hosts infected at least once (results did not qualitatively change using the full dataset).



Our analysis included the abundances of 64 host species and the relative abundances of 33 parasite lineages at each sampling location, resulting in 2112 pairwise correlations. Mean observed  $\rho$  was  $-0.012 + 0.008$  SE, which did not differ significantly from the distribution of mean ρ values obtained by randomizing the parasite frequency matrix (by row shuffling 9999 times) and recalculating the mean  $\rho$  each time ( $P = 0.628$ ). Furthermore, the observed SE was no different than the distribution of randomized SEs  $(P = 0.147)$ .

### **Discussion**

Our survey and analysis of haemosporidian blood parasites of birds in eastern North America demonstrate that the distributions of parasite populations strongly mirror those of their hosts, with broad-scale climatic gradients and barriers to dispersal playing minimal structuring roles even though the distributions of populations of avian hosts were related to environmental gradients when controlling for the effects of parasites (Table 1). Because parasite transmission takes place primarily during the warm summer months (as evidenced by infections in hatch-year birds in late summer; (Ricklefs *et al.* 2005; Ellis, Kunkel & Ricklefs 2014; Medeiros *et al.* 2014), haemosporidian parasites probably are largely buffered against variation in climate (average summer temperature varied between 19.9 and 26.9 ºC across our sampling locations). While we do not know the extent to which the populations of the parasites' dipteran vectors track hosts, in at least one location in the region (Chicago, IL) parasite-host associations appeared unrelated to vector-host encounter rates (Medeiros *et al.* 2013). Interestingly, regional studies of small mammals and their flea ecto-parasites have shown that flea assemblages can respond to distance between sampling locations, and local habitat and climate characteristics even on the same host species (Krasnov *et al.* 1997, 2005), perhaps because they are more exposed to the environment than haemosporidian parasites.

Distributions of parasite populations across the region seemed to also be influenced by parasite competition within hosts and by localized host-switching. In our best sampled host, *C. cardinalis*, we found evidence of nonrandom, distinct parasite assemblages at each of four sampling locations within a single year (Fig. 4). For example, parasites LA22 and NA04 seem to replace each other as the most common parasites of *C. cardinalis* in Louisiana and Mississippi, although both lineages infect *C. cardinalis* in both locations. This suggests that besides being limited to the distribution of certain host populations, parasite distributions may also be determined by competition within host populations across the region. However, we do not know the extent to which such competition is mediated by the host immune system through localized lineage-specific immunity (Bonneaud *et al.* 2006). Furthermore, parasite host-breadth can vary across time and space (Fig. 3) even when controlling for the diversity of available hosts and the local diversity of parasites, indicating the importance of host-switching in determining parasite distributions across the region.

Finally, while theoretical (Anderson & May 1978) and empirical (Hudson, Dobson & Newborn 1998) studies suggest that parasites may often limit host population size, correlations between host and parasite populations across the region did not differ from random suggesting that haemosporidian parasites do not limit the population densities of their hosts in this region. Taken together our data reveal that populations of haemosporidian parasites are largely structured by populations of their hosts, while host



populations seem to be structured to some extent by environmental and geographic gradients and not by haemosporidian parasites. However, parasites appear to interact within host species and to shift between hosts over short time periods and across short distances.

## **Materials and Methods**

*Field methods*. We captured birds with mist-nets at 13 locations across eastern North America (Fig. 1) during summer months (primarily late May – August with minimal sampling in April and September; removal of April and September samples did not qualitatively change results) from 1999 to 2014 (Table S2). We took a small (ca. 10  $\mu$ L) blood sample from the brachial vein of each bird and stored the blood in Puregene® (Germantown, Maryland) or Longmire's (Longmire, Maltbie & Baker 1997) lysis buffer. We collected all samples under appropriate state and federal permits and IACUC protocols.

*Laboratory methods*. We extracted DNA from blood samples using an ammonium acetate-isopropanol precipitation protocol (Svensson & Ricklefs 2009). We screened DNA samples for haemosporidian parasites using a PCR protocol designed to amplify a small section of mitochondrial parasite DNA (Fallon *et al.* 2003). We then amplified a portion of the cytochrome *b* gene in positive samples using several primer pairs and protocols (Waldenström *et al.* 2004; Fallon *et al.* 2005; Ricklefs *et al.* 2005; Fecchio *et al.* 2013). We identified unique parasite lineages based on their cytochrome *b* sequences, and on their host and geographic distributions (Svensson-Coelho *et al.* 2013; Ricklefs *et al.* 2014). Multiple infections were separated by phasing (Browning & Browning 2011) where possible. Genbank Accession numbers for all lineages can be found in Table S1. *Statistical analysis*. All analyses were performed in R v3.1.2 (R Core Team 2014), all graphics were created with the ggplot2 package (Wickham 2009) in R, and we report two-tailed P values for all tests. We used the "metaMDS" function in the vegan package (Oksanen *et al.* 2015) in R for Non-Metric Multidimensional Scaling (NMDS) and set the number of axes in the NMDS ordinations to three. We compared the ordinations with Canonical Correlation Analysis (CCorA) using the function "CCorA" in the vegan package (significance was tested by permutation with 9999 trials). We calculated Bray-Curtis dissimilarities between locations with the "vegdist" function in the vegan package. Bray-Curtis dissimilarity between two sampling locations (1 and 2) is calculated by

$$
\frac{\sum_{j=1}^{p} |y_{1j} - y_{2j}|}{\sum_{j=1}^{p} (y_{1j} + y_{2j})}
$$

where *y* represents the number (or frequency) of individuals sampled of species *j*, and *p* represents the total number of species sampled over both locations (Legendre & Legendre 1998).

We created a geographic distance matrix between locations with the "rdist.earth" function in the fields package (Nychka, Furrer & Sain 2014) in R. We compared distance matrices with Mantel and partial Mantel tests using functions "mantel" and "mantel.partial" (method = "spearman") in the vegan package. Mantel statistics were tested for significance by permutation (9999 trials) following (Legendre & Legendre



1998). We tested for a departure from unimodality in the frequency of host breadth values using Hartigans' dip test (Hartigan & Hartigan 1985) with the function "dip.test" in the diptest package (Maechler 2014) in R. Linear mixed effects models were run with the lme4 R package (Bates *et al.* 2014), and denominator degrees of freedom for F tests were calculated using the "Kenward-Roger" approach (Kenward & Roger 1997) implemented in the lmerTest (Kuznetsova, Brockhoff & Christensen 2014) and pbkrtest (Halekoh & Højsgaard 2014) packages in R.

*Host abundance*. Our mist-net effort varied across locations and years and therefore provided unreliable estimates of avian abundance. To estimate avian abundance we downloaded route data from the North American Breeding Bird Survey [\(https://www.pwrc.usgs.gov/bbs/\)](https://www.pwrc.usgs.gov/bbs/). We selected routes deemed acceptable by the survey organizers (i.e., routes that met all survey requirements in a particular year) located within 80km of our sampling locations, and we used route data corresponding to the year each location was sampled, plus one year before and one year after our sample was taken. For example, Chicago, IL was sampled in 2006 and 2007, so we used route data from 2005 to 2008 within the 80-km buffer (for the locations sampled in 2014 we used route data from years 2013 and 2014). We then averaged bird species abundances across routes and across years for each sampling location. We spatial and temporal buffers to account for potential variability in abundance estimates due to environmental heterogeneity within routes (Bart, Hopschen & Peterjohn 1995) and observer error (Sauer, Peterjohn & Link 1994), but our results did not change qualitatively with the size of these buffers. *Parasite host-breadth*. We calculated host-breadth for each parasite lineage using Rao's QE (Rao 1982; Pavoine, Ollier & Pontier 2005; Chao, Chiu & Jost 2010) defined by the formula

$$
\frac{1}{2}\sum_{i,j}^{S}t_{ij}p_ip_j
$$

where  $t_{ij}$  is a matrix of phylogenetic distances between host species *i* and *j* observed to be infected by a given parasite lineage (divided by two to obtain average phylogenetic distance),  $p_i$  is the proportion of infections by the parasite in host species  $i$  (i.e., the number of individuals of host species *i* infected by the parasite divided by the total number of individuals infected by that parasite),  $p_i$  is the proportion of infections by the parasite in host species *j*, and *S* is the total number of host species. Our parasite hostbreadth score varies from zero (complete host specialization) to

$$
\frac{1}{2}\sum_{i,j}^{S}t_{ij}S^{-2}
$$

which represents a maximally generalized parasite (i.e., a parasite that infects all hosts in the community equally; however, an alternative might be that a perfect generalist would infect all hosts in direct proportion to host abundance), and is equivalent to a phylogenetically-weighted Gini-Simpson diversity index. We calculated Rao's QE using the "raoD" function in the picante package (Kembel *et al.* 2010) in R and report the



"Dkk" value the function produces. We used the phylogeny of Jetz et al. (Jetz *et al.* 2012) to estimate phylogenetic relationships between bird species. Based on parasites sampled at least 10 times over the community sampling locations, we showed a strong relationship between Rao's QE and the Gini-Simpson index applied to parasite host-breadth (Fig. S4). Because of the apparent effect of host phylogeny, we used Rao's QE as a metric of parasite host-breadth for all analyses.

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### **Figures and Tables**

Table 1—Results of partial Mantel tests comparing hypothesized relationships between space (i.e., geographic distance between sites), the environment (i.e., climatic differences between sites), birds (i.e., host community dissimilarity between sites), and parasites (i.e., parasite community dissimilarity between sites) identified in Fig. 2. We report the partial Mantel correlation coefficient  $(r_p)$  and associated P value. The relationship between space and environment was tested with a standard Mantel test.

Relationship between Controlling for **r***<sup>p</sup>* **P**



Space	Environment	None	0.410	0.036
<b>Birds</b>	Environment	Parasites	0.694	< 0.001
<b>Birds</b>	Space	Parasites	0.504	0.012
<b>Birds</b>	Environment	Space	0.682	0.001
<b>Birds</b>	Space	Environment	0.438	0.022
Parasites	Environment	<b>Birds</b>	0.092	0.333
Parasites	Space	<b>Birds</b>	0.097	0.302
Parasites	Environment	Space	0.294	0.081
Parasites	Space	Environment	0.199	0.178
<b>Birds</b>	Parasites	Environment	0.256	0.076
<b>Birds</b>	Parasites	Space	0.335	0.027

Table 2—Results of G-tests comparing the prevalence of each well-sampled parasite of the host *Cardinalis cardinalis* in 2013 across sampling locations. Prevalence data are shown graphically in Fig. 4.







Fig. 1—Sampling locations. Circles are "community" samples (i.e., sampling was not restricted to focal bird species), triangles are samples of one or a few bird species only (Table S2). Location codes are as follows: **ALA** is Alabama**, CHAMP** is Champaign (Illinois), **CHI** is Chicago (Illinois), **CHI2** is western Chicago (Illinois), **CT** is Connecticut, **IN** is Indiana, **LA** is Louisiana**, MI** is Michigan, **MS** is Mississippi, **OZ** is Ozarks (Missouri), **PA** is Pennsylvania, **STL** is St. Louis (Missouri), and **TN** is Tennessee.





Fig. 2—Path diagram of hypothesized interactions between space (i.e., geographic distance between sampling locations), environment (i.e., climatic differences between sampling locations), bird and parasite communities (i.e., differences in species richness and abundances of birds and of parasite lineages respectively, between sampling locations), which are all represented as distance matrices. We test these hypotheses with partial Mantel tests which allow for the calculation of correlations between two distance matrices while controlling for the effect of a third. For example, birds and parasites are positively correlated ( $r = 0.335$ ,  $P = 0.027$ ) even when controlling for the effect of space (Table 1).

Figure 3



Fig. 3—Parasite host-breadth (calculated as Rao's Quadratic Entropy) for parasite lineages sampled at least four times at each of at least four sampling locations, pooling data from all years, showing clear variation in host-breadth across the region. Locations are organized from North to South.





Fig. 4—Prevalences of well-sampled parasite lineages on the host *Cardinalis cardinalis* at four locations in 2013. Prevalence of four of five parasites was significantly heterogeneous across space (Table 2) and parasite assemblages within this host exhibited significant spatial turnover (mean Bray-Curtis dissimilarity between sites was significantly greater than random,  $P < 0.001$ ; Fig. S3).

# **Supporting Information, Figures**

Figure S1





Fig. S1—The first five axes of a principal components analysis (PCA) ordinating 19 bioclim variables downloaded from<http://www.worldclim.org/> for each sampling location (Table S4).







Fig. S2—Frequency distribution of parasite host-breadth (Rao's Quadratic Entropy) from parasite lineages sampled at least 10 times across all locations. It does not differ from a unimodal distribution (i.e., it is not bimodal; Hartigans' dip test,  $D_{33} = 0.047$ ,  $P = 0.87$ ).





Fig. S3—The distribution of randomized mean dissimilarities between four locations where *Cardinalis cardinalis* was well-sampled in 2013 based on the prevalence of five


parasite lineages at each location. Observed dissimilarity between locations was greater than the random distribution indicating that parasite by location combinations were more unique than expected by chance alone  $(P < 0.001)$ .



### Figure S4

Fig. S4—The relationship between parasite host-breadth, calculated by Rao's Quadratic Entropy, and the Gini-Simpson Index for parasites sampled at least 10 times across community sampling locations ( $R^2 = 0.724$ , P < 0.001). The blue line is the predicted linear relationship and is surrounded by 95% confidence intervals.

Supporting Information, Tables

Table S1—All parasite lineages recovered in our study and the number of times each was sampled overall (N), its genus and Genbank number where the sequence can be recovered.

<b>Lineage</b>	N	Genus	<b>Genbank Number</b>
<b>OZ</b> 14	257	Plasmodium	AY540210, HM222474
OZ <sub>01</sub>	253	Plasmodium	GQ395654, HQ287549, GQ395654, GQ141574
$OZ$ 35	194	Plasmodium	HM222474-HM222480
<b>NA04</b>	115	Haemoproteus	AF465562
OZ10	80	Haemoproteus	AF465576
$OZ$ 08	66	Plasmodium	AF540207, HM222485
LA <sub>01</sub>	57	Haemoproteus	AF465572
$OZ$ 03	55	Haemoproteus	AF465563











	Haemoproteus	AY817754
1	Haemoproteus	AF465582
1	Haemoproteus	AY540214
1	Haemoproteus	AY540216, GQ395682, GQ141596
1	Haemoproteus	AY817755
1	Plasmodium	KJ910311, KF359932
1	Haemoproteus	GU252022
1	Plasmodium	KP771719
1	Haemoproteus	KM065796
	Haemoproteus	GQ395690, GU252009

Table S2—Information on each sampling location, including the total number of samples collected (N).







Table S3—Host and parasite associations at each of our sampling locations. Three letter codes under "Species" column refer to host species names which can be found in Table S5. See .xls file, available upon request [\(vincenzoaellis@gmail.com\)](mailto:vincenzoaellis@gmail.com).

Table S4—Results of a principal components analysis (PCA) of 19 bioclim variables downloaded from<http://www.worldclim.org/> for each of our community sampling locations. We report the proportion of variance and cumulative variance explained by each axis up to eight axes, although we only used five in our analysis.



Table S5—Host species codes corresponding to three letter host species codes in Table S3. "N" refers to sample size, "AOU species code" is a four letter code for host species commonly used by bird banders. The "Genus" and "Species" columns reflect the taxonomy used at [http://birdtree.org/.](http://birdtree.org/) The "Current" column represents current taxonomy (when different) based on the American Ornithological Union's Checklist of North American Birds [\(http://checklist.aou.org/\)](http://checklist.aou.org/).









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# **Chapter 4**

# Low haemosporidian parasite prevalence in locally rare avian hosts at multiple sites within a regional community

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# **Abstract**

Little is known regarding the relationship between the probability of infection by parasites (prevalence) and host abundance. Here we survey a regional community of birds and their haemosporidian parasites (genera *Plasmodium* and *Haemoproteus*) in eastern North America and show that parasite prevalence is positively related to the abundance of host species within local assemblages, although the strength of the relationship varies between assemblages within the region. Accordingly, at the local scale, rare hosts are less frequently parasitized than abundant hosts. Densities of white blood cells (potential general indicators of infection) were also positively related to host species abundance at one location in the region, suggesting that the abundanceprevalence relationship might extend to other parasites. Relationships between parasite prevalence and the abundance of a host species at multiple locations across its range were insignificant for all host species except *Turdus migratorius*, the abundance of which was weakly negatively related to the prevalence of *Haemoproteus* parasites. Interestingly, three nonnative host species had lower prevalence than native species in the location where they were best sampled despite being relatively abundant, offering evidence in favor of the Enemy Release Hypothesis.

Key words: avian malaria, Enemy Release Hypothesis, *Haemoproteus*, host abundance, *Plasmodium*

# **Introduction**

Local species assemblages are typically composed of many rare and only a few common species (McGill *et al.* 2007). However, locally rare species may be common in other parts



of their ranges (Murray & Lepschi 2004). Furthermore, phylogenetically informed analyses show that abundance is an evolutionarily labile trait (McGill 2008; Ricklefs 2011, 2012). Such observations suggest that localized, species-specific forces act independently across regions to determine abundance. One hypothesis proposes that local coevolution between parasites and their hosts may generate such abundance patterns (Ricklefs 2011, 2012). Indeed, several studies have shown that specialized soil pathogens influence the local abundance of temperate (Packer  $& Clav 2000, 2003$ ) and tropical tree species (Mangan *et al.* 2010), and various parasites (including viruses) may depress population densities of their vertebrate hosts (e.g., Hudson, Dobson & Newborn 1998; LaDeau, Kilpatrick & Marra 2007). Moreover, nonnative species are often more common in their introduced ranges than in their native ranges, potentially as a result of having left their parasites behind (the "Enemy Release Hypothesis", Torchin *et al.* 2003; Marzal *et al.* 2011).

In the case of trees, individuals of rare species have a lower probability of survival in the presence of conspecifics than do individuals of abundant species (Comita *et al.* 2010), in part a result of increased sensitivity to host-specialized soil microbes (Mangan *et al.* 2010), and potentially other natural enemies (Janzen 1970). However, relationships between host abundance and parasite prevalence (i.e., the proportion of hosts infected) in vertebrates remain poorly explored both across and within host species. Several studies have shown that parasite prevalence increases with host population density (Arneberg *et al.* 1998; Brown *et al.* 2001; Krasnov, Khokhlova & Shenbrot 2002; O'Brien & Brown 2011), but such relationships are both parasite- and host-specific (Isaksson *et al.* 2013, Stanko, Krasnov & Morand 2006). Finally, few studies have examined the relationship between parasite prevalence and the relative abundance of different host species in a particular area. Ricklefs *et al.* (2005) found a U-shaped relationship between the prevalence of haemosporidian parasites and the relative abundance of hosts in a sample of birds in southern Missouri, where the rarest and commonest bird species had the highest prevalence. Importantly, Ricklefs *et al.* (2005) used host sample size (from mist-net captures, summed across years) as a proxy for local abundance, which may misrepresent the relative abundance of some species (Ralph *et al.* 2004).

Here, we attempt to clarify the relationship between avian host abundance and parasite prevalence in a regional community of birds and their dipteran-vectored, haemosporidian blood parasites (genera *Plasmodium* and *Haemoproteus*). According to one hypothesis, parasite control of host abundance might result in negative relationships between parasite prevalence both across and within host species abundance. Such patterns would suggest that abundant hosts are better able to resist infection than rare hosts. Alternatively, prevalence might increase with host abundance as a result of a higher probability of host-parasite encounters (mediated through host-vector encounters) in more common hosts, resulting in rare species being relatively free from infection. In a previous study from one sampling location in the region, we showed that increased white blood cell (WBC) densities in avian hosts may be general indicators of infection (Ellis, Kunkel  $\&$  Ricklefs 2014). We therefore tested the relationship between WBC densities and host species abundance in that location to see if infection in general varied with abundance. Finally, the Enemy Release Hypothesis predicts that nonnative hosts reach high relative abundance as a result of losing their parasites, and that generalized parasites



should impact native more than nonnative hosts (Keane & Crawley 2002). We test the latter prediction by comparing the prevalence of native and nonnative hosts within a single assemblage in the region where three nonnative host species were particularly well-sampled.

## **Methods**

We collected small blood samples  $(< 1\%$  of an individual's body weight) from 5867 birds from 99 species (mostly in the order Passeriformes) across 13 locations in eastern North America (Fig. 1) from 1999 to 2014. Most of our sampling locations were "community samples," meaning that we did not restrict sampling to focal bird species; however, samples in three locations (CHAMP, CHI2, and MS) targeted one or a few species (see Appendix). The majority of samples were taken between late May and August, but minimal sampling also occurred in April and September (removal of April and September samples did not qualitatively change our results). All birds were released after capture, and all sampling took place under appropriate federal and state permits and IACUC protocols. We stored blood samples in Puregene® (Germantown, Maryland) or Longmire's (Longmire, Maltbie & Baker 1997) lysis buffer, and made blood smears for later quantification of white blood cells (Ellis *et al.* 2014).

### *Molecular analyses*

We extracted DNA from samples stored in lysis buffer using a standard ammoniumacetate, isopropanol precipitation protocol (Svensson & Ricklefs 2009), and screened DNA samples using a PCR protocol designed to amplify a small fragment of haemosporidian mitochondrial DNA (Fallon *et al.* 2003). For samples that were positive for haemosporidian parasite DNA, we amplified a portion of the parasite cytochrome *b* gene using one of several protocols (Waldenström *et al.* 2004; Fallon, Bermingham & Ricklefs 2005; Ricklefs *et al.* 2005; Fecchio *et al.* 2013). We defined molecular lineages based on their cytochrome *b* sequences and their host and geographic distributions (Svensson-Coelho *et al.* 2013; Ricklefs *et al.* 2014). We separated mixed infections by phasing when possible (Browning & Browning 2011). Lineage names and GenBank Accession numbers are provided in the Appendix.

### *Host abundance*

Mist-net capture effort was not standardized across locations or across years within locations and therefore did not provide reliable estimates of host abundance. We therefore estimated host abundance from the North American Breeding Bird Survey [\(https://www.pwrc.usgs.gov/bbs/\)](https://www.pwrc.usgs.gov/bbs/). We selected routes deemed acceptable by the survey organizers (i.e., routes that met all survey requirements in a particular year) located within 80km of our sampling locations, and we used route data corresponding to the year each location was sampled, plus one year before and one year after our sample was taken. For example, Chicago, IL was sampled in 2006 and 2007, so we used route data from 2005 to 2008 within the 80-km buffer (for the locations sampled in 2014 we used route data from years 2013 and 2014). We then averaged bird species abundances across routes and across years for each sampling location. We used spatial and temporal buffers to account for potential bias due to observer error (Sauer, Peterjohn & Link 1994), but our results did not change qualitatively with the size of these buffers.



#### *White blood cells (WBCs)*

We quantified the densities of four types of WBCs on a subset of 235 blood smears from the Ozarks sampling location (Fig. 1) as previously described in Ellis *et al.* (2014). WBC densities are reported as the number of WBCs per  $10<sup>4</sup>$  red blood cells.

#### *Statistical analyses*

We used R v.3.1.2 (R Core Team 2014) for all analyses. To test for a general relationship between abundance and parasite prevalence within locations we created a logistic mixedeffects model in the lme4 package in R (Bates *et al.* 2014), with individual infection status as the response variable, host species abundance as the explanatory variable, and random effects (intercepts) of both location and host species.

To better explore the particular relationships of parasite prevalence to both acrosshost and within-host species abundances, we used generalized linear models (sample size weighted) with binomial error distributions, or quasibinomial error distributions when overdispersion was detected (i.e., more variance in the data than predicted by the model, Bolker *et al.* 2009). For tests of prevalence and across-host-species abundance, we fit one model for each of our best sampled locations ( $N = 7$  locations, Table 1), where each replicate in the model was a host species, the response variable was prevalence, and the explanatory variable was abundance. For tests of prevalence and within-host species abundance we fit one model for each of the host species sampled in at least eight locations throughout the region ( $N = 5$  host species, Table 2). In these within-host-species models, each replicate was a location within the range of the host species in question, and the response and explanatory variables were prevalence and abundance of that host species, respectively.

For all models we used only those host species within a particular location that had at least two individuals sampled at that location so as not to bias against rare species. However, our results did not change qualitatively using different sample size cut-offs as high as eight individuals sampled per species in a particular location. All models were repeated with prevalence based on *Plasmodium* and *Haemoproteus* parasites together (total prevalence) as well as separately. We excluded the three nonnative species we sampled (*Passer domesticus*, *Sturnus vulgarus*, *Haemorhous mexicanus*) from our dataset for all analyses except the generalized linear model comparing prevalence and acrosshost-species abundance in Chicago, IL where they were best sampled. In the Chicago model, a categorical variable coding for native and nonnative host species was used as a covariate (no significant interaction was detected with abundance) allowing us to control for the effect of nonnatives on the prevalence abundance relationship and also allowing us to compare prevalence between natives and nonnatives. *H. mexicanus* is arguably less of a nonnative than the other two nonnatives since its native distribution extends across western North America. However, some of the haemosporidian parasites of *H. mexicanus* show geographic structuring between their western (native) and eastern (nonnative) ranges (Kimura, Dhondt & Lovette 2006) so we considered them nonnatives for this study.

Finally we related WBCs to host abundance at one location—Ozarks, MO—using negative binomial mixed effects models in the glmmADMB package in R (Fournier *et al.* 2012; Skaug *et al.* 2014). Each replicate in these models was an individual, and we used



host species as a random effect (intercept). We ran models with WBC density as the response variable, and with combinations of host abundance, infection status, and their interaction as explanatory variables. We compared model fits using AIC*c* (Akaike information criterion weighted for small sample size) with the bbmle package in R (Bolker & R Core Team 2014). We used the natural logarithm of host abundance plus one (to account for zeros in host abundance) in all analyses and we created all graphics with the ggplot2 package in R (Wickham 2009).

### **Results**

#### *Across- and within-host-species abundance and parasite prevalence*

We found 1720 individuals (29.3%) infected by 87 parasite lineages across the region (Appendix). We created a logistic mixed-effects model relating the infection status of each host individual to the log-transformed abundance of its species at the location it was sampled, incorporating host species and sampling location as random effects (only using "community" sampling locations, see Appendix). The estimate of the coefficient for abundance in the model was positive ( $\beta$  = 0.34, 95% CI = 0.17, 0.50), and it fit the data better than a null model (i.e., one with no predictor variable but the same random effects structure; likelihood ratio test,  $P < 0.001$ ). Separate models using prevalence of *Plasmodium* ( $\beta$  = 0.25, 95% CI = 0.05, 0.45, P < 0.001 compared with a null model) and *Haemoproteus* (β = 0.55, 95% CI = 0.31, 0.79, P < 0.001) only were generally similar.

We further explored the relationship between parasite prevalence and across-hostspecies abundance at separate locations using generalized linear models. In each of the seven best-sampled locations, these models generally show a positive relationship between parasite prevalence and across-host-species abundance, as suggested by the logistic mixed effects model (Table 1). Furthermore, in the case of Chicago, nonnative hosts were less often infected (total prevalence) than natives ( $\beta$  = -1.34  $\pm$  0.24 SE, P < 0.001, Fig. 2). This relationship also held for the prevalence of *Plasmodium* parasites (β =  $-0.88 + 0.27$  SE,  $P = 0.002$ ), and none of the nonnative hosts were infected with *Haemoproteus* parasites in Chicago. We also compared parasite prevalence to withinhost-species abundance for several of our well-sampled hosts and generally found nonsignificant relationships (Table 2; we also found non-significant relationships using less well-sampled host species—results not shown). The one exception was the host *Turdus migratorius*, the abundance of which was weakly negatively related to the prevalence of *Haemoproteus* parasites across its sampled range (Fig. 3).

### *White blood cells and interspecific host abundance*

In the Ozarks, MO location, we quantified WBC densities from 235 individuals of 17 host species as previously described in Ellis *et al.* (2014). We set up several negative binomial mixed effects models with WBC density per individual as the response variable, and combinations of log-transformed host species abundance at that location, infection status, and their interaction as response variables with host species included as a random effect. The best model (based on AIC*c*) included host abundance and infection status as explanatory variables but not their interaction (model weight  $= 0.54$ , Fig. 4). The model showed a positive effect of host species abundance on total WBC density ( $\beta$  = 0.33, 95%)  $CI = 0.11, 0.64$ , and slightly higher WBCs in infected as compared to uninfected individuals ( $\beta$  = 0.2, 95% CI = -0.04, 0.41; while the 95% CI overlaps zero for all WBC



types combined, this effect varies in strength with the WBC type analyzed, Ellis *et al.* 2014), and fit the data better than a null model  $(P = 0.002)$ . The results of separate models for each WBC type were similar to the result of modeling total WBC only and so are not reported separately. WBC densities may be general indicators of infection (Ellis et al. 2014), suggesting that the positive relationship between across-host-species abundance and haemosporidian prevalence may extend to other parasites.

#### **Discussion**

Our data demonstrate that within the local assemblages we surveyed, haemosporidian parasites generally infect a higher proportion of abundant hosts than rare hosts. However, within the ranges of individual hosts, parasite prevalence does not generally vary with abundance. These results suggest that variation in local parasite prevalence across host species is related to host-parasite encounter rates (mediated through vector-host encounter rates). We did find one weak negative relationship between within-host-species abundance and parasite prevalence in one host in our dataset (Fig. 3, Table 2). However, without experiments, it is difficult to know whether this negative relationship indicates that parasites limit the population size of the host. Nevertheless, it is worth noting that avian haemosporidian parasites can be highly pathogenic and lead to reduced host survival in some cases (Asghar *et al.* 2015).

In a previous study of birds and their haemosporidian parasites from the Ozarks (Ellis *et al.* 2014), birds infected with haemosporidian parasites generally had higher WBC densities than those that were not infected, but many uninfected birds also had high WBC densities. This finding lead the authors to hypothesize that elevated WBC densities might be general indicators of infection in that system. Here we show that WBC densities are also positively related to across-host-species abundance in the Ozarks even when controlling for the effect of infection status. If WBCs are indeed general indicators of infection, this would suggest that the positive relationship between parasite prevalence and across-host-species abundance could be generalized to other types of parasites. In a study of cliff swallows (*Petrochelidon pyrrhonota*) in Nebraska, Brown & Brown (2002) showed experimentally that increased spleen size was a general indicator of infection by ectoparasites and perhaps other types of parasites. Furthermore, spleen size increased with colony size (i.e., host population density) in these birds suggesting that the transmission of multiple parasites increased with host density.

One of the predictions of the Enemy Release Hypothesis is that nonnative hosts are less impacted by generalized parasites than native hosts (Keane & Crawley 2002). However, many major tests of the hypothesis have focused on the prediction than overall parasite prevalence is lower in the nonnative range of the host than in its native range, rather than comparing prevalence between nonnatives and natives in the same assemblage (e.g., Torchin *et al.* 2003; Marzal *et al.* 2011). Here we show that nonnative hosts had lower parasite prevalence than native hosts in one local assemblage, despite reaching high abundances (Fig. 2). This suggests that nonnative hosts are likely less impacted by the parasites they encounter in their new ranges than the native hosts they coexist with.

Overall our results are consistent with the idea that parasite infection rates are positively related to location-dependent parasite-host encounter rates. This is supported by the haemosporidian parasite data and by the WBC data from the Ozarks, which



suggest that the relationship might be extended to other parasites. We also found that nonnative host species can be less often parasitized than native hosts despite reaching high abundances, offer support to the Enemy Release Hypothesis. While vectors-host encounter rates likely do not determine host-parasite associations (Medeiros, Hamer & Ricklefs 2013), future work should investigate the potential effect of vector feeding rates in possibly driving parasite prevalence within local assemblages.

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## **Tables and Figures**

Table 1—Results of generalized linear models of the effect of log-transformed host abundance on parasite prevalence (Total prevalence, *Plasmodium* prevalence only, and *Haemoproteus* prevalence only) within the best sampled locations within the region. We report the estimate of the coefficient of log-transformed abundance (β), its  $95%$ confidence interval, and P value. Introduced species were removed from the dataset prior to running these models, except in the case of the Chicago models where a categorical variable coding for native and nonnative host species was included as a covariate.



Table 2—Results of generalized linear models of the effect of log-transformed host abundance on parasite prevalence (Total prevalence, *Plasmodium* prevalence only, and *Haemoproteus* prevalence only) for the five best sampled host species in the region. **N** refers to the number of locations each host species was sampled (at least two individuals sampled per location). We report the estimate of the coefficient of log-transformed abundance (β), its 95% confidence interval, and P value. Models for all other host species were non-significant ( $P > 0.05$ ).





Fig. 1



Figure 1—Sampling locations. Detailed information on each location can be found in the Appendix. Location codes are as follows: **ALA** is Alabama, **CHAMP** is Champaign (Illinois), **CHI** is Chicago (Illinois), **CHI2** is western Chicago (Illinois), **CT** is Connecticut, **IN** is Indiana, **LA** is Louisiana, **MI** is Michigan, **MS** is Mississippi, **OZ** is



Ozarks (Missouri), **PA** is Pennsylvania, **STL** is St. Louis (Missouri), and **TN** is Tennessee.



Fig. 2

Figure 2—Total prevalence is significantly related to across-host-species abundance in Chicago ( $P < 0.001$ ) when controlling for the effect of the three nonnative host species (hollow circles); CME = *Haemorhous mexicanus*, PDO = *Passer domesticus*, SVU = *Sturnus vulgarus*. Nonnative hosts had lower total prevalence than native hosts (P < 0.001). The size of points are scaled to the natural logarithm of sample size.

Fig. 3





Figure 3—Prevalence of *Haemoproteus* parasites is weakly negatively related to the abundance of the host *Turdus migratorius* (P = 0.023) across the host's sampled range. The smallest points represent a sample size of two individuals per location (Connecticut and Pennsylvania), and the largest point represents a sample size of 438 individuals (Chicago); the size of the points are scaled to the natural logarithm of sample size.







Figure 4—White blood cells (WBCs) per  $10^4$  red blood cells in relation to across-hostspecies abundance ( $N = 17$  host species) in the Ozarks, MO. Empty circles (slightly offset for clarity) are individuals infected by a haemosporidian parasite lineage, and filled circles are individuals uninfected by haemosporidian parasites. The best fitting model showed a positive relationship between WBCs and host abundance and a slight increase in WBCs in infected birds (model weight  $= 0.54$ ).

## **Appendix**

(available upon request, [vincenzoaellis@gmail.com\)](mailto:vincenzoaellis@gmail.com)



## **Chapter 5**

Temporal variation in avian haemosporidian parasite prevalence in relation to hostbreadth

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## **Abstract**

We surveyed an assemblage of birds and their haemosporidian blood parasites (*Plasmodium* spp. and *Haemoproteus* spp.) over a 12 year period to investigate temporal variation in parasite prevalence (proportion of infected hosts). Overall we identified 11 well-sampled parasite lineages, seven of which exhibited significant variation from yearto-year. Unlike in similar host-parasite assemblages, parasite lineages were not restricted to closely related host species. The distribution of host-breadths (the diversity of host species parasites infect) among those 11 lineages was bimodal, and suggested that parasites were either specialized on a single host species (specialists,  $N = 4$ ) or infected a broad range of hosts (generalists,  $N = 7$ ). The standard deviation of prevalence across years (a measure of temporal variability in local parasite population size) was higher in specialist parasite lineages than in generalists, revealing a potential cost to specialization. Finally, average pairwise correlations across years between host abundances and the prevalence of each parasite lineage were more negative than expected by chance, possibly a consequence of parasites limiting host abundance locally.

## **Introduction**

Understanding the emergence and persistence of infectious diseases has important implications for protecting populations of both humans and wildlife (Schrag & Wiener 1995; Daszak, Cunningham & Hyatt 2000; Anderson *et al.* 2004). Mathematical models exploring these themes in human parasites have a long history (see review in Mandal, Sarkar & Sinha 2011). However, parasites that infect multiple host species have only recently begun to receive attention from theorists. One proposal has been that the hostbreadth of a parasite (i.e., the diversity of hosts a parasite infects; Poulin, Krasnov & Mouillot 2011) may influence its ability to persist (i.e., not go extinct) in a location (Dobson 2004).

Several studies of parasites infecting wildlife offer glimpses into the short-term temporal dynamics of host parasite associations. For instance, in a 17-year study of the great reed warbler (*Acrocephalus arundinaceus*), Bensch *et al.* (2007) found that the prevalence (i.e., the proportion of host individuals infected) of each of the three most common haemosporidian blood parasites were coupled and cycled regularly with a periodicity of three to four years. Similarly, in a 13-year study of the malaria parasite *Plasmodium mexicanum* infecting a lizard host *Sceloporus occidentalis*, parasite prevalence appeared to cycle at a ten-year interval (Schall & Marghoob 1995). In one of the most extensive temporal parasite-host studies to date, Hudson, Dobson & Newborn



(1998) demonstrated that populations of Scottish red grouse (*Lagopus lagopus scoticus*) and a nematode parasite cycled inversely through time.

Studies of multiple parasites infecting multiple host species over time are less common, but may capture greater temporal variation in parasite populations. For instance, Fallon *et al.* (2004) sampled haemosporidian parasites in bird assemblages on two West Indian islands at two time points almost 10 years apart and found loss and gain of several parasite lineages. Their results hint at the potential for great variation in parasite populations over time in that system, including frequent local extinction and recolonization. Similarly, Svensson-Coelho *et al.* (2013) found significant variation in some haemosporidian parasites recovered from birds over a nine year survey at one locality in Amazonian Ecuador.

Here we report on a survey of avian haemosporidian parasites (*Plasmodium* and *Haemoproteus*) in a single local assemblage of birds over 12 years. We document temporal variation in parasite prevalence and explicitly test whether this variation is related to parasite host-breadth, and whether it is related to temporal changes in host abundance.

#### **Methods**

We obtained blood samples  $\ll 1\%$  body weight) from small landbirds (mostly in the order Passeriformes) captured via mist-net from late May to July in the Ozarks of southern Missouri (37°13′ N, 91°04′ W) during 1999-2002, 2005, 2007, and 2011 (for detailed location information and collection procedures see Ricklefs *et al.* 2005 and Ellis, Kunkel & Ricklefs 2014). Sampling effort varied between years (Table 1). We stored blood samples in Puregene® (Germantown, Maryland) or Longmire's (Longmire, Maltbie & Baker 1997) lysis buffer for later DNA extraction. All sampling was performed under appropriate state and federal permits and with the approval of the University of Missouri-St. Louis IACUC.

#### *Molecular analyses*

We extracted DNA from the blood samples in lysis buffer using an ammonium acetate, isopropanol precipitation procedure (Svensson & Ricklefs 2009). We screened all samples for haemosporidian DNA using a PCR protocol designed to amplify a small fragment of the parasite mitochondrial genome (Fallon *et al.* 2003). For positive samples we then amplified a section of the parasite cytochrome *b* gene using a combination of protocols (Waldenström *et al.* 2004; Fallon, Bermingham & Ricklefs 2005; Ricklefs *et al.* 2005; Fecchio *et al.* 2013). We designated unique parasite lineages based on cytochrome *b* sequences and host and geographic distributions (Ricklefs *et al.* 2014). We separated mixed infection by phasing when possible (Browning & Browning 2011). Individuals with ambiguous infections due to sequencing error or the inability to phase mixed infections were removed from the analysis. All recovered lineages and their GenBank Accession numbers can be found in the Appendix A (available upon request, [vincenzoaellis@gmail.com](mailto:vincenzoaellis@gmail.com) ).

### *Host abundance*

We estimated host abundance from the North American Breeding Bird Survey [\(https://www.pwrc.usgs.gov/bbs/\)](https://www.pwrc.usgs.gov/bbs/). We downloaded data from routes within 200 km the



study site for each year of sampling (results were generally robust to changes in this distance). We chose a relatively large distance to increase the number of routes included and therefore reduce the variance of abundance estimates (Sauer, Peterjohn & Link 1994) and we selected only routes that were classified as acceptable by the survey organizers. We then calculated the average abundance of each species across routes within each year.

#### *Statistical analyses*

All statistical tests were run in R v.3.1.2 (R Core Team 2014). We calculated annual prevalence of parasite lineages in two ways. The first is an assemblage-level estimate of prevalence, calculated as the number of infections of a parasite in a particular year, divided by the total number of individual birds sampled in that year. This metric assumes that parasites can infect any host in the assemblage, which may be a reasonable assumption given that avian haemosporidian parasites appear to switch hosts nearly at random on an evolutionary timescale (Ricklefs *et al.* 2014). The second prevalence calculation is based on host species from which a particular parasite was recovered at least once during the survey (i.e., suitable hosts). This metric is calculated as the number of infections of a given parasite in a given year divided by the total number of individuals of suitable host species sampled during that year, and assumes fixity in the host species parasites infect. We compared prevalence between years using likelihood ratio G-tests with the function "likelihood.test" in the R package Deducer (Fellows 2012). We calculated non-parametric Spearman rank-order correlations to compare parasite prevalence and host abundance across years. We performed several randomization tests, described in the Results section, and we report two-tailed P values for all of those tests.

We calculated the host-breadth of each parasite lineage using the Gini-Simpson index (Jost 2006) of all the host individuals each parasite infected across all the years of the survey. We used the "raoD" function, and returned its "Dkk" value in the R package picante (setting the "phy" argument to "NULL", Kembel *et al.* 2010) to implement the Gini-Simpson index. We also compared host phylogenetic distances, estimated using the phylogeny of Jetz *et al.* (2012), to a distance matrix representing the diversity of parasite lineages hosts share, using a mantel test run with the function "mantel" in the vegan package in R (Oksanen *et al.* 2015). The latter distance matrix was calculated as Bray-Curtis dissimilarities (Odum 1950; Bray & Curtis 1957; Legendre & Legendre 1998) between hosts based on the parasites they were infected by. All data analyzed for this study can be found in Appendix B (available upon request, [vincenzoaellis@gmail.com\)](mailto:vincenzoaellis@gmail.com).

#### **Results**

#### *Parasite host-breadth and temporal variation in prevalence*

We screened 1438 individuals of 49 species and found 329 infected with haemosporidian parasites (22.9%). Overall total parasite prevalence did not vary significantly across years  $(G = 7.05, df = 6, P = 0.316; Table 1)$ . We recovered 42 distinct parasite lineages (Appendix A), of which, 11 were recovered more than eight times (Table 2). Of those 11 lineages, the prevalence of seven varied significantly through time (Table 2, Fig. 1).

To determine if parasite distributions across hosts were constrained by host phylogenetic relatedness (e.g., Medeiros, Hamer & Ricklefs 2013) we compared a matrix of host phylogenetic distances to a matrix of host distances based on the parasites they shared (see Methods) with a Mantel test. We restricted this analysis to the 11 parasite



lineages recovered more than eight times and to host species they infected at least once in the sample ( $N = 25$  host species). The Mantel test was not significant ( $r = 0.04$ , P 0.335) suggesting that parasite distributions are not constrained by host relatedness in this assemblage.

We therefore calculated parasite host-breadth using a Gini-Simpson index, which does not account for phylogenetic relationships between hosts. The distribution of hostbreadth was markedly bimodal (Fig. 2), suggesting the existence of specialist and generalist parasites. Indeed, parasite lineages with host-breadths less than 0.5 seemed to mostly specialize on two common hosts in the assemblage, *Vireo olivaceus* (primarily infected by lineages OZ 10, OZ 12, and OZ 05) and *Icteria virens* (primarily infected by OZ 08).

To capture temporal variation in prevalence with a single metric, we calculated the standard deviation of arcsin, square root transformed prevalence across years for each parasite. We calculated this metric using prevalence based on all hosts in the assemblage, and separately based on hosts the parasites were observed infecting. We ran two ANOVAs (restricted to parasite lineages sampled more than eight times) with the standard deviation of parasite prevalence across years (as previously described either using assemblage-wide prevalence or prevalence based on the parasite's observed hosts) as the response variable, and a categorical variable coding for specialists (host-breadth < 0.5) and generalists (host-breadth  $> 0.5$ ), and another coding for parasite genus (*Plasmodium*/*Haemoproteus*) as explanatory variables. Parasite genus was not significant in either model ( $P > 0.3$  in both models), and so was dropped. The standard deviation of parasite prevalence across years was greater in specialists than in generalists in the final models, although the effect was more pronounced and statistically significant when prevalence was calculated using the observed hosts of the parasites ( $F_{1,9} = 9.18$ ,  $P =$ 0.014), rather than all the hosts in the assemblage  $(F_{1,9} = 4.21, P = 0.071; Fig. 3)$ .

### *Prevalence and host abundance*

To clarify the relationship between host abundance and parasite prevalence across years, we calculated pairwise Spearman correlations (ρ) between the abundances of all hosts and the prevalences of all parasite lineages across years. We then compared the mean observed correlation coefficient to a randomized distribution of mean correlations obtained by row-shuffling the matrix of host abundances and re-calculating the correlations (9999 times). We restricted this analysis again to the 11 parasite lineages sampled more than eight times, and to all hosts sampled (whether infected or not). However, three host species that we sampled were not observed on Breeding Bird Survey routes during the sampling years (*Setophaga magnolia*, *Oreothlypis peregrina*, and *Cardellina canadensis*), and so were dropped from this analysis, leaving 46 host species, and 506 pairwise correlations between hosts and parasites. Regardless of whether prevalence was calculated using the entire host assemblage (mean  $\rho = -0.16 + 0.02$  SE) or only the observed hosts of each parasite (mean  $\rho = -0.14 + 0.02$ ), mean correlation coefficients were more negative than expected by chance ( $P = 0.007$  and  $P = 0.038$ , respectively). Interestingly, the most negative correlations we observed were between hosts and parasites that were not associated in our survey. For example, the *Plasmodium* parasite OZ 01 was negatively related ( $\rho = -0.96$ , using both prevalence measures) to the abundance of the host *Baeolophus bicolor*, which was never recorded as infected with OZ



01. Similarly, the *Plasmodium* parasite OZ 08, primarily found infecting the host *Icteria virens*, was negatively related to the abundances of *Mniotilta varia* (ρ = -0.95) and *Seiurus aurocapilla* ( $\rho = -0.95$ ), while never having been recorded infecting either species. In both cases, the hosts involved were well-sampled (Appendix B).

#### **Discussion**

We found substantial variation in the prevalence of multiple haemosporidian parasite lineages in a local assemblage of birds over a 12-year period, and this variation was related to host-breadth of the parasite lineages. Specialized parasites seemed to vary more through time than generalist parasites (Fig. 1 and 3). This result suggests that the ability to utilize more host species might buffer parasites against temporal variation and potentially lead to greater parasite persistence over time (Dobson 2004).

We suspect that specialized parasites are more variable through time than generalists because of temporal changes in the proportion of immune individuals of their primary host species. In a three year study of *Plasmodium falciparum* in a human population in Sudan, Babiker, Satti & Walliker (1995) found significant temporal antigenic variability in the parasite, potentially a result of changes in the proportion of resistant hosts, among other possibilities. However, we do not have data to address this hypothesis and can only speculate. Perhaps some of the variation we observed can be explained by temporal changes in the local assemblage of vectors and the parasites those vectors harbored (Kim & Tsuda 2012; Lalubin *et al.* 2013). Kim & Tsuda (2012) recorded densities of mosquitos and the diversity of haemosporidian parasites they were infected by in a single locality in Japan over a four year period. The authors found that the lineage composition of parasites within at least one mosquito vector (*Culex inatomii*) appeared to change between years. Such changes in the associations of vectors and parasites might lead to prevalence changes in the vertebrate host assemblage as well.

We also found no evidence of parasite-host associations being constrained by host phylogenetic relatedness. This is in contrast with a study of a similar host-parasite assemblage in Chicago, IL (Medeiros *et al.* 2013). This result indicates that the importance of the evolutionary relatedness of hosts may change across the region, highlighting the geographic idiosyncrasies of host-parasite relationships. Finally, we found that parasite prevalence is generally negatively related to host abundance across years in this location. Interestingly, the most negative correlations we found were between hosts and parasites that were not associated in our study. While these correlations could be spurious (in the sense that hosts and parasites are not responding to one another, but to other unmeasured variables), it is tempting to speculate that parasites may be limiting host population size within this local assemblage. Furthermore, high mortality rates in birds infected by particularly pathogenic parasites might bias capture rates and prevent us from recording all host parasite associations (Valkiūnas 2005).

Overall our results are indicative of how little we know about variation in assemblages of multiple hosts and multiple parasites through time. Ultimately studies should attempt to incorporate both geographic and temporal scales into studies of hostparasite associations, as many temporal trends may depend on geography. For example, in a 30 year study of monarch butterflies (*Danaus plexippus*) and a protozoan parasite *Ophryocystis elektroscirrha* at various locations across North America, Altizer, Oberhauser & Brower (2000) showed that temporal variation in parasite prevalence did



not vary predictably across years and was location dependent. Ecological assemblages of multiple interacting hosts and parasites across a region are likely to be no less complicated, but deserve attention in the effort to understand the dynamics of emerging infectious disease.

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## **Figures and Tables**

Table 1—Number of host individuals sampled (N) and the number of infected individuals in each year of the survey. The probability of infection did not differ by year  $(G = 7.05,$  $df = 6$ ,  $P = 0.316$ ).



Table 2—The 11 best sampled parasite lineages, including the genus they belong to, the number of infections recorded across all years (N), and host-breadth (HB). We report two G statistics and associated P values from tests comparing whether the probability of infection of each lineage varied by year, calculated by either assuming parasites could infect all hosts in the assemblage (Assemblage), or could only infect hosts they were observed infecting at least once (Host Range); see Methods for further details.





Fig. 1



Figure 1—Annual prevalences of the 11 parasite lineages recovered more than eight times. Here prevalence was calculated using only the hosts parasites were observed infecting (see Methods). The shade of the lines corresponds to the host-breadth of the parasites with black lines representing host specialists and gray lines representing host generalists.







Figure 2—A histogram of host-breadths (calculated as a Gini-Simpson index of the hosts parasites were found infecting) of the 11 parasite lineages recovered more than eight times appears bimodal, suggesting that that some parasites (those with host-breadths lower than 0.5) are host specialists, and others (those with host-breadths greater than 0.5) are host generalists.





Figure 3—Points represent the standard deviations of arcsin, square root transformed prevalences across years and parasite host-breadths for each parasite lineage. Error bars are standard errors of the standard deviations. The two panels represent the two ways of calculating prevalence—either using the whole host assemblage (Assemblage), or using only the hosts that parasites were found infecting (Host Range); see Methods for details. Specialist parasites are colored in black and generalists in gray. Standard deviation of the prevalences of specialist parasites were higher than those of generalist parasites although the effect was weaker in the assemblage-based calculation of prevalence compared with the calculating prevalence based on hosts within a parasite's host range ( $P = 0.071$  and P  $= 0.014$ , respectively).

